US200200356 28A1

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(71) Applicant *(for all designated States except US)*: **MAZU NETWORKS, INC.** [US/US]; 6th Floor, 125 Cambridge Park Drive, Cambridge, MA 02140 (US).

(72) Inventors; and
(75) Inventors/Applicants *(for US only)*: **GIL, Thomer, Michael** [NL/NL]; Sarphatistraat 590a, NL-1018 AV Amsterdam (NL). **POLETTO, Massimiliano, Antonio** [IT/US]; 474 Broadway 6, Cambridge, MA 02138 (US). **KOHLER, Edward, W., Jr.** [US/US]; 805 57th Street, Oakland, CA 94608 (US).

(74) Agent: MALONEY, Denis, G.; Fish & Richardson, P.C., 225 Franklin Street, Boston, MA 02110-2804 (US).

[Continued on next page]

(54) Title: STATISTICS COLLECTION FOR NETWORK TRAFFIC

(57) Abstract: A system architecture for thwarting denial of service attacks on a victim data center (20). The system includes a first plurality of monitors (33) that monitor network traffic flow through the network (30). The first plurality of monitors (33) is disposed at a second plurality of points (28) in the network (30). The system includes a central controller (27) that receives data from the plurality of monitors (33) over a network (30). The central controller (27) analyzes network traffic statistics (35) to identify malicious network traffic.

WO 02/21296 A1

(84) Designated States *(regional)*: ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:
— *with international search report*

— *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

STATISTICS COLLECTION FOR NETWORK TRAFFIC

Background

5   This invention relates to techniques to thwart network-related denial of service attacks.

In denial of service attacks, an attacker sends a large volume of malicious traffic to a victim. In one approach an attacker, via a computer system connected to

10   the Internet infiltrates one or a plurality of computers at various data centers. Often the attacker will access the Internet through an Internet Service Provider (ISP). The attacker by use of a malicious software program places the plurality of computers at the data centers under its

15   control. When the attacker issues a command to the computers at the data centers, the machines send data out of the data centers at arbitrary times. These computers can simultaneously send large volumes of data over various times to the victim preventing the victim from responding

20   to legitimate traffic.

Summary

According to an aspect of the present invention, a method of monitoring traffic flow in a monitor device

25   disposed to receive network traffic packets includes producing statistics corresponding to a parameter of traffic flow to trace the source of an attack. The method also includes mapping the traffic flow into a plurality of buckets by applying a hash function "f(h)" to the

30   parameter of the traffic flow to output an integer corresponding to one of the buckets and accumulating statistics from the packets. The method includes comparing the number of buckets to a threshold and determining whether the number of buckets should be

divided into more buckets or combined into fewer buckets based on comparing the number of buckets to the threshold.

According to an additional aspect of the present invention, a computer program product residing on a

5   computer readable for monitoring network traffic flow in a network includes instructions to cause a computer to map traffic flow into a plurality of buckets by applying a hash function "f(h)" to a parameter of the traffic flow to output an integer corresponding to one of the buckets.

10   The program accumulates statistics from the packets and compares the accumulated statistic values from the buckets to configured threshold values corresponding to the number of buckets to determine that an event is of significance. The program adjusts the number of buckets as the number of

15   buckets approaches a second threshold.

According to an additional aspect of the present invention, a data collector to collect statistical information about network flows includes a computer readable medium and a computing device that executes a

20   computer program product stored on the computer readable medium. The computer program product includes instructions to cause the computing device to map traffic flow into a plurality of buckets by applying a hash function "f(h)" to the parameter of the traffic flow to

25   output an integer corresponding to one of the buckets and accumulate statistics from the packets. The program compares the accumulated statistic values from the buckets to configured threshold values corresponding to the number of buckets to determine that an event is of significance

30   and adjusts the number of buckets as the number of buckets approaches a second threshold. The data collector also includes a port to link the data collector to a central control center.

One or more aspects of the invention may provide some or all of the following advantages.

An attack may be designed to explode the number of buckets. Since each bucket consumes memory space, the
5 attack can be designed to consume all available memory and crash the data collection processes and devices.

The invention prevents that type of attack setting thresholds on the number of buckets. As buckets approach a bucket threshold "Th", the process can take several
10 buckets and divide them in more buckets or combine them into fewer buckets. The variable number of buckets dynamically adjusts the monitoring process to the amount of traffic and number of flows, so that monitoring is not vulnerable to a DoS attacks against its own resources.

15 The variable number of buckets also helps to efficiently identify the source(s) of attack by breaking down traffic into different categories (buckets) and looking at the appropriate parameters and thresholds in each bucket. With the invention, traffic is monitored at
20 multiple levels of granularity, from aggregate to individual flows. The invention can be applied to various types of monitoring, e.g., TCP packet ratios, repressor traffic, and so forth.

25

Brief description of the drawings

FIG. 1 is a block diagram of networked computers showing an architecture to thwart denial of service attacks.

5   FIG. 2 is a block diagram depicting details of placement of a gateway.

FIG. 3 is a block diagram depicting details of placement of data collectors.

FIG. 4 is flow chart depicting a data collection

10   process.

FIG. 5 is a flow chart depicting details of a control center.

FIG. 6 is a diagram depicting functional layers of a monitoring process.

15   FIG. 7 is a diagram depicting one technique to gather statistics for use in algorithms that determine sources of an attack.

FIG. 8 is a diagram depicting an alternative technique to gather statistics for use in algorithms that

20   determine sources of an attack.

FIG. 9 is flow chart depicting a process to determine receipt of bad TCP traffic.

FIG. 10 is flow chart depicting a process to defend against setup time connection attacks.

25

Detailed Description

Referring to FIG. 1, an arrangement 10 to thwart denial of service attacks (DoS attacks) is shown. The arrangement 10 is used to thwart an attack on a victim

30   data center 12, e.g., a web site or other network site under attack. The victim 12 is coupled to the Internet 14 or other network. For example, the victim 12 has a web server located at a data center (not shown).

An attacker via a computer system 16 that is
connected to the Internet e.g., via an Internet 14 Service
Provider (ISP) 18 or other approach, infiltrates one or a
plurality of computers at various other sites or data
5   centers 20a-20c. The attacker by use of a malicious
software program 21 that is generally surreptitiously
loaded on the computers of the data centers 20a-20c,
places the plurality of computers in the data centers 20a-
20c under its control. When the attacker issues a command
10   to the data centers 20a-20c, the data centers 20a-20c send
data out at arbitrary times. These data centers 20a-20c
can simultaneously send large volumes of data at various
times to the victim 12 to prevent the victim 12 from
responding to legitimate traffic.

15   The arrangement 10 to protect the victim includes a
control center 24 that communicates with and controls
gateways 26 and data collectors 28 disposed in the network
14. The arrangement protects against DoS attacks via
intelligent traffic analysis and filtering that is
20   distributed throughout the network. The control center 24
is coupled to the gateways 26 and data collectors 28 by a
hardened, redundant network 30. Gateways 26 and data
collectors 28 are types of monitors that monitor and
collect statistics on network traffic. In preferred
25   embodiments, the network is inaccessible to the attacker.
The gateway 26 devices are located at the edges of the
Internet 14, for instance, at the entry points of data
centers. The gateway devices constantly analyze traffic,
looking for congestion or traffic levels that indicate the
30   onset of a DoS attack. The data collectors 28 are located
inter alia at major peering points and network points of
presence (PoPs). The data collectors 28 sample packet

traffic, accumulate, and collect statistical information about network flows.

All deployed devices e.g., gateways 26 and data collectors 28 are linked to the central control center.

5   The control center aggregates traffic information and coordinates measures to track down and block the sources of an attack. The arrangement uses a distributed analysis emphasizing the underlying characteristics of a DoS attack, i.e., congestion and slow server response, to

10 produce a robust and comprehensive DoS solution. Thus, this architecture 10 can stop new attacks rather than some solutions that can only stop previously seen attacks. Furthermore, the distributed architecture 10 will frequently stop an attack near its source, before it uses

15 bandwidth on the wider Internet 14 or congests access links to the targeted victim 12.

A virus is one way to get attacks started. When surfing the web page a user may download something, which contains a virus that puts the user's computer under the

20 control of some hacker. In the future, that machine can be one of the machines that launches the attack. The attacker only needs a sufficient amount of bandwidth to get a sufficient number of requests out to the victim 12 to be malicious.

25 Referring to FIG. 2, details of an exemplary deployment of a gateway is shown. Other deployments are possible and the details of such deployments would depend on characteristics of the site, network, cost and other considerations. The gateway 26 is a program executing on

30 a device, e.g., a computer 27 that is disposed at the edge of the data center 20 behind an edge router at the edge of the Internet 14. Additional details on the gateway 26 are discussed below and in the APPENDIX A. In a preferred

embodiment, a plurality of gateway devices are deployed at a corresponding plurality of locations, e.g., data centers or sites over the network, e.g., the Internet 14. There can be one gateway or a plurality of gateways at each data

5   center, but that is not necessarily required.

The gateway 26 includes a monitoring process 32 (FIG. 6B) that monitors traffic that passes through the gateway as well as a communication process 33 that can communicate statistics collected in the gateway 26 with the data

10 center 24. The gateway uses a separate interface over a private, redundant network, such as a modem 39 to communicate with the control center 24 over the hardened network 30. Other interface types besides a modem are possible. In addition, the gateway 26 can include

15 processes 35 to allow an administrator to insert filters to filter out, i.e., discard packets that the device deems to be part of an attack, as determined by heuristics described below.

An attack can be designed to either overload the

20 servers or overload some part of the network infrastructure inside the victim site 12. Thus, the victim site 12 can include routers, switches, load balancers and other devices inside the data center that can be targeted by the attack. A particularly troublesome

25 attack causes overload of upstream bandwidth. Upstream bandwidth is the capacity between the victim 12 data center 12a and one or a plurality of routers or switches belonging to the victim 12 data center's network service provider, which provides connectivity to the rest of the

30 network, e.g., the Internet.

For an exemplary configuration, the victim site 12 can include a plurality of high bandwidth lines feeding a GSR (Gigabit Switch Router). At the output of the GSR are

exit ports to various parts of the data center. The GSR
is generally very high bandwidth and generally does not
crash. The gateway 26 is placed behind the GSR and across
some or all of the output ports of the GSR into the data
5   center. This configuration allows the gateway 26 to
monitor and control some or all of the traffic entering
the data center without the need to provide routing
functionality.

Alternatively, a gateway 26 can tap a network line
10   without being deployed physically in line, and it can
control network traffic, for example, by dynamically
installing filters on nearby routers. The gateway 26
would install these filters on the appropriate routers via
an out of band connection, i.e. a serial line or a
15   dedicated network connection. Other arrangements are of
course possible.

Referring to FIG. 3, data collectors 28 are shown
coupled to the network to tap or sample traffic from data
centers 20a-20c. Although data collectors 28 can be
20   dispersed throughout the network 14 they can be
strategically disposed at peering points, i.e., points
where network traffic from two or more different backbone
providers meet. The data collectors 28 can also be
disposed at points of presence (PoPs). The data
25   collectors 28 monitor and collect information pertaining
to network traffic flow. The data collectors process
statistics based on monitored network traffic that enters
a peering point. Data collectors 28 include a monitoring
process 32 (FIG. 6) as well as a communication process
30   that communicates data to the control center over the
hardened network 30. One or more data collector devices
28 use the monitoring process to monitor one or more lines
that enter the peering point. Each data collector 28

would be able to monitor one or more lines depending on the specifics of how the network is configured and bandwidth requirements.

The gateway 26 and data collector 26 are typically
5   software programs that are executed on devices such as computers, routers, or switches. In one arrangement, packets pass through the gateway 26 disposed at the data center 22a and are sampled by the data collector.

Referring to FIG. 4, the data collector 26 performs
10 40 a sampling and statistic collection process 40. The data collector samples 42 one (1) packet in every (n) packets and has counters to collect statistics about every packet. The data collector 26 parses the information in the sampled packet. Information collected includes source
15 information 44, which may be fake or spoofed, e.g., not correct information. It will also include destination information 46, which generally is accurate information. The data collector 28 collects that information but need not log the sampled packets. The data collector 28
20 maintains a log over a period of time, e.g., in the last hour. As an example, the log that the data collector 26 maintains is a log that specifies that the data collector has seen a certain number of packets, e.g., 10,000 packets of a particular kind, that apparently originated from a
25 particular source(s) that are going to a particular destination.

Based on rules 48 within the data collector 26, the data collector 26 analyzes 50 the collected statistics and may if necessary compose 52 a message that raises an
30 alarm. Alternatively, the data collector can respond to queries concerning characteristics of traffic on the network. Typically, the queries can be for information pertaining to statistics. It can be in the form of an

answer to a question e.g., how many packets of a type did the data collector see or it can be a request to down load via the hardened network, the entire contents of the log. One rule is that when the data collector 26 starts

5   sampling, the data collector periodically logs data and produces a log of a large plurality of different network flows over a period of time.

Referring to FIG. 5, a deployment for the control center 24 is shown. The control center 24 receives

10 information from one or more gateways 26 and data collectors 28 and performs appropriate analysis using an analysis process 62. The control center is a hardened site.

The control center 24 has multiple upstream

15 connections so that even during an attack it will have other ways to couple to the network 30. Several approaches can be used to harden the site. One approach can use special software between the site and the Internet 14 to make it immune to attack. An approach is to have a

20 physically separate network 30 connected to all of the devices, e.g., gateways 26 and data collectors 28. One exemplary embodiment of that physically separate network 30, which is hardened, is the telephone system. Thus, each one of the data collectors 26 and gateways 26

25 includes an interface to the separate network, e.g., a modem. The data center 26 also includes a corresponding interface to the separate network, e.g., a modem or a modem bank 60.

With this approach, the redundant network 30 is not

30 accessible to the attacker. The redundant network 30 thus is available to communicate between the data center 24 and data collectors and gateways to coordinate response to an attack. In essence, the network 30 used by the data

center to communicate with the data collectors 26 and
gateways 26 is not available to the attacker.
Alternatively, if less than complete assurance is
required, the control center could be resistant to attack
5 and still be connected to the Internet 14.

The analysis process 62 that is executed on the
control center 24 analyzes data from the gateways 26 and
data collectors 28. The analysis process 62 tries to
detect attacks on victim sites. The analysis process 62
10 views attacks as belonging to, e.g., one of three classes
of attack. Herein these classes of attack are denoted as
low-grade with spoofing, low-grade without spoofing and
high-grade whether spoofing or non-spoofing.

A low-grade attack is an attack that does not take
15 out upstream bandwidth. A low-grade attack does not
significantly overburden the links between the Internet 14
and the victim data center 12. The low-grade non-spoofing
attack is the simplest type of attack to defend against.
It simply requires identifying the source of the attack
20 and a mechanism to notify an administrator at the victim
site to install a filter or filters at appropriate points
to discard traffic containing the source address
associated with the attack.

With a low-grade spoofing-type attack, an attacker
25 sends an IP-packet to a destination but fakes the source
address. There is no way to enforce use of an accurate
source address by a sender. During a spoofing attack,
each one of the attacking machines will send a packet with
a fake, e.g., randomly selected or generated source
30 address. Under this type of attack, the victim 12 alone
cannot thwart the attack. An administrator at the victim
12 can try to put a filter on a router to stop the
packets. However, there is no way for the administrator

to guess what the random address of the next packet will
be.

The control center 24 also includes a communication
process 63 to send data to/from the gateways 26 and data
5   collectors 28. The gateway 26 at the victim 12 contacts
the control center and notifies the control center 24 that
the victim 12 data center is under a spoofing attack. The
gateway 26 identifies itself by network address (e.g.,
static IP address if on the Internet 14), via a message to
10   the control center 24. The message sent over the hardened
network 30 indicates the type of attack, e.g., an attack
from addresses that the victim 12 cannot stop because it
is a spoofing type of attack. The control center queries
data collectors 28 and asks which data collectors 28 are
15   seeing suspicious traffic being sent to the victim 12.

The packets from the attacker will have faked source
addresses that will be changing with time. However, the
control center can issue a query for this kind of packet
by victim destination address. The data collectors 28
20   reply with the information collected. Based on that
collected information from the data collectors 28, the
control center can then determine what data centers are
performing the spoofing on the victim 12.

In the present configuration, there are two possible
25   sources of attack traffic: either the attacker is behind a
gateway 26 or not. If the attacker is behind a gateway
26, the control center issues a request to the appropriate
gateway 26 to block the attacking traffic, e.g. by
allowing the appropriate gateway 26 to discard traffic,
30   e.g., packets that contain the victim 12 destination
address. The gateway 26 stops that traffic in a
transparent manner. If the attacker is not behind a
gateway 26, data collectors 28 are used to provide

information about possible locations of the attackers.
The availability of information from data collectors 28
increases the speed with which attackers are discovered.
The data collectors 28 are positioned at network switching

5 points that see a high volume of traffic, which minimizes
the required number of deployed data collectors.

The high-grade attacks are attacks that take out the
link between the victim 12 data center and the Internet
14. With a high-grade attack it does not matter whether

10 the victim 12 is spoofed or not. Under a high-grade
attack, the attack requires cooperation just like the low
grade spoofing attack. Thus, the same thwarting mechanism
is used for either spoofing or non-spoofing, e.g., using
information from the data collectors 28 to identify

15 attacking networks. This information is used to either
automatically shutdown traffic having the victim's
destination address at the appropriate gateways 26 or is
used to identify networks or data centers from which the
attack is originating and to follow up with calls to the

20 appropriate administrators.

Referring to FIG. 6, a monitoring process 32 is
shown. The monitoring process 32 can be deployed on data
collectors 28 as well as gateways 26. The monitoring
process 32 includes a process 32a to collect statistics of

25 packets that pass by the data collectors 28 or through the
gateways 26. The monitoring process 32 also includes
several processes 32b to identify, malicious traffic flows
based on the collected statistics as further described
below.

30 Referring to FIG. 7, the gateways 26 and data
collectors 28 are capable of looking at multiple levels of
granularity. The gateways 26 and data collectors have
monitoring process 32 used to measure some parameter of

traffic flow. One goal of the gateways 26 and data collectors 28 is to measure some parameter of network traffic. This information collected by the gateways 26 and data collectors is used to trace the source of an
5 attack.

One of the algorithms to measure parameters of traffic flow divides the traffic flow into buckets. For example, consider one simple parameter, the count of how many packets a data collector or gateway examines. An
10 algorithm to track the count of this parameter starts with a predefined number of buckets, e.g., "N" buckets. The buckets are implemented as storage areas in the memory space of the data collector or gateway device. The algorithm will use some hash function "f(h)", which takes
15 the packet and outputs an integer that corresponds to one of the buckets "$B_1 - B_N$". Statistics from the packets start accumulating in the buckets "$B_1 - B_N$". The buckets "$B_1 - B_N$" are configured with threshold values "Th." As the contents of the buckets $B_1 - B_N$ reach the configured
20 thresholds values "Th", (e.g., compare values of packet count or packet rate to threshold), the monitoring process 32 deems that event to be of significance. The monitoring process 32 takes that bucket, e.g., B_i and divides that bucket B_i into some other number M of new buckets $B_{i1} - B_{iM}$.
25 Each of the new buckets $B_{i1} - B_{iM}$ contains values appropriately derived from the original bucket B_i. Also, the hash function is extended to map to N+M-1 "h→N+M-1" values, rather than the original N values.

An attack designed to use the algorithm of FIG. 6
30 against a gateway 26 or a data collector 28 might send packets in such a fashion as to explode the number of buckets. Since each bucket consumes memory space, the attack can be designed to consume all available memory and

crash the device, e.g., computer on which the monitoring
process 32 executes. There are ways of preventing that
type of attack on the monitoring process 32. One way is
to make the hash function change periodically, e.g.,

5   randomly. Also the hash function is secret so that the
packets are reassigned to different buckets in ways
unknown to the attackers.

    Referring to FIG. 8, a second method is that instead
of using just thresholds and values inside a given bucket,

10   the monitoring process 32 also sets thresholds on the
number of buckets. As the gateway 26 or data collector 28
approaches a bucket threshold "Th", the gateway 26 or data
collector 28 have the ability to take several buckets B_1 -
B_3 and divide them in more buckets B_1 - B_4 or combine them

15   into fewer bucket B_1 - B_2.

    The function of the variable number of buckets is to
dynamically adjust the monitoring process to the amount of
traffic and number of flows, so that the monitoring device
(e.g., gateway 26 or data collector 28) is not vulnerable

20   to DoS attacks against its own resources. The variable
number of buckets also efficiently identifies the
source(s) of attack by breaking down traffic into
different categories (buckets) and looking at the
appropriate parameters and thresholds in each bucket.

25   Thus, with multi-level analysis as discussed in FIGS.
6 and 7, traffic is monitored at multiple levels of
granularity, from aggregate to individual flows. Multi-
level analysis can be applied to all types of monitoring
(i.e. TCP packet ratios, repressor traffic, etc. discussed

30   below) except TCP SYN proxying (because the latter
requires per-connection monitoring of all half-open
connections as discussed below).

The monitoring process 32 has the gateway 26 or the
data collectors 28 keep track of a metric (such as packet
ratio) for each of n traffic buckets. (If n=1, the
monitoring process 32 tracks the metric for all traffic in
5 the aggregate.) The monitoring process 32 places packets
into buckets according to a hash function of the source or
destination address. If the metric in any bucket exceeds
a given "suspicious" threshold, that bucket is split into
several smaller buckets, and the metric is tracked
10 individually for each new bucket. In the limit, each
bucket can correspond to a single flow (source
address/port and destination address/port pair). The
resulting per-flow monitoring is resilient to denial-of-
service attacks. If the number of buckets exceeds a given
15 memory limit (for example, due to a many-flow spoofing
attack), several fine-grain buckets can be aggregated into
a single coarse-grain bucket. The hash function for
placing packets into traffic buckets is secret and changes
periodically, thwarting attacks based on carefully chosen
20 addresses.

In the worst case, an attacker actually spoofs
packets from all possible addresses. An IP address, for
example is 32 bits long. This address length allows for
approximately 4 billion possible random addresses and
25 makes it impossible for the gateway at the victim site 12
to identify the attacker. In that worst case, the gateway
26 calls the control center, indicates the address of the
gateway 26, and conveys that the gateway 26 is receiving
unreasonably high levels of random traffic. The control
30 center 24 contacts the data collectors 28. The control
center 24 analyzes the statistics collected by the data
collectors 28 to try to determine the source of the
traffic.

Egress filtering is a recommended Internet 14 best
practice procedure that does not allow any packets out of
a network unless the source address belongs to that
network. Egress filtering prevents hosts on that network

5   from sending out packets with completely random source
addresses. Rather, the space of usable fake addresses is
limited by the size of the host's network address space,
and may range up to 24 bits rather than the full 32 bits.
If an attacker is attacking from a network that performs

10   egress filtering, then all the attack traffic reaching a
victim will fall into a smaller number of buckets, those
corresponding to the source network address. In this way,
the gateway 26 can identify the approximate source of the
attack without necessarily relying on the control center

15   or data collectors.

Several methods can be used separately or in
combination to identify, malicious traffic flows. For
example, the gateway 26 can detect DoS attacks and
identify malicious flows or source addresses using at

20   least one or more of the following methods including:
analyzing packet ratios of TCP-like traffic; analyzing
"repressor" traffic for particular types of normal
traffic; performing TCP handshake analysis; performing
various types of packet analysis at packet layers 3-7; and

25   logging/historical analysis.

Packet ratios for TCP-like traffic.

The Transmission Control Protocol (TCP) is a protocol
in which a connection between two hosts, a client C, e.g.

30   a web browser, and a server S, e.g. a web server, involves
packets traveling in both directions, between C and S and
between S and C. When C sends data to S and S receives
it, S replies with an ACK ("acknowledgement") packet. If

C does not receive the ACK, it will eventually try to retransmit the data to S, to implement TCP's reliable delivery property. In general, a server S will acknowledge (send an ACK) for every packet or every second

5   packet.

Referring to FIG. 9, the monitoring process in the gateway 26 can examine 82 a ratio of incoming to outgoing TCP packets for a particular set of machines, e.g. web servers. The monitoring process can compare 84 the ratio

10 to a threshold value. The monitoring process can store 86 this ratio, time stamp it, etc. and conduct an ongoing analysis 88 to determine over time for example how much and how often it exceeds that ratio. As the ratio grows increasingly beyond 2:1, e.g., to about 3:1 or so, it is

15 an increasing indication that the machines are receiving bad TCP traffic, e.g. packets that are not part of any established TCP connection, or that they are too overloaded to acknowledge the requests. This ratio is one of the parameters measured using the multiple-bucket

20 algorithm described previously.

The gateway 26 divides traffic into multiple buckets, e.g. by source network address, and tracks the ratio of ingoing to outgoing traffic for each bucket. As the ratio for one bucket becomes skewed, the gateway 26 may

25 subdivide that bucket to obtain a more detailed view. The gateway 26 raises 90 a warning or alarm to the data center 24 and/or to the administrators at the victim site 12.

Repressor traffic

30   The phrase "repressor traffic" as used herein refers to any network traffic that is indicative of problems or a potential attack in a main flow of traffic. A gateway 26

- 18 -

may use repressor traffic analysis to identify such problems and stop or repress a corresponding attack.

One example of repressor traffic is ICMP port unreachable messages. These messages are generated by an
5   end host when it receives a packet on a port that is not responding to requests. The message contains header information from the packet in question. The gateway 26 can analyze the port unreachable messages and use them to generate logs for forensic purposes or to selectively
10   block future messages similar to the ones that caused the ICMP messages.

TCP handshake analysis

A TCP connection between two hosts on the network is
15   initiated via a three-way handshake. The client, e.g. C, sends the server, e.g. S, a SYN ("synchronize") packet. S the server replies with a SYN ACK ("synchronize acknowledgment") packet. The client C replies to the SYN ACK with an ACK ("acknowledgment") packet. At this point,
20   appropriate states to manage the connection are established on both sides.

During a TCP SYN flood attack, a server is sent many SYN packets but the attacking site never responds to the corresponding SYN ACKs with ACK packets. The resulting
25   "half-open" connections take up state on the server and can prevent the server from opening up legitimate connections until the half-open connection expires, which usually takes 2-3 minutes. By constantly sending more SYN packets, an attacker can effectively prevent a server from
30   serving any legitimate connection requests.

Referring to FIG. 10, in an active configuration, a gateway 26 can defend against SYN flood attacks. During connection setup, the gateway forwards 102 a SYN packet

from a client to a server. The gateway forwards 104 a
resulting SYN ACK packet from a server to client and
immediately sends 106 ACK packet to the server, closing a
three-way handshake. The gateway maintains the resulting
5   connection for a timeout period 108. If the ACK packet
does not arrive from client to server 110, the gateway
sends 112 a RST ("reset") to the server to close the
connection. If the ACK arrives 114, gateway forwards 116
the ACK and forgets 118 about the connection, forwarding
10 subsequent packets for that connection. A variable
timeout 120 period can be used. The variable time out
period can be inversely proportional to number of
connections for which a first ACK packet from client has
not been received. If gateway 26 is placed inline in the
15 network, when number of non-ACK'ed connections reaches a
configurable threshold 122, the gateway will not forward
any new SYNs until it finishes sending RSTs for those
connections.

        In a passive configuration, a gateway 26 can
20 similarly keep track of ratios of SYNs to SYN ACKs and SYN
ACKs to ACKs, and raise appropriate alarms when a SYN
flood attack situation occurs.

Layer 3-7 analysis.
25   With layer 3-7 analysis, the gateway 26 looks at
various traffic properties at network packet layers 3
through 7 to identify attacks and malicious flows. These
layers are often referred to as layers of the Open System
Interconnection (OSI) reference model and are network,
30 transport, session, presentation and application layers
respectively. Some examples of characteristics that the
gateway may look for include:

1. Unusual amounts of IP fragmentation, or fragmented
IP packets with bad or overlapping fragment offsets.

2. IP packets with obviously bad source addresses, or
ICMP packets with broadcast destination addresses.

5   3. TCP or UDP packets to unused ports.

4. TCP segments advertizing unusually small window
sizes, which may indicate load on server, or TCP ACK
packets not belonging to a known connection.

5. Frequent reloads that are sustained at a rate
10   higher than plausible for a human user over a persistent
HTTP connection.

Logging and historical traffic analysis

The gateways 26 and data collectors 28 keep
15   statistical summary information of traffic over different
periods of time and at different levels of detail. For
example, a gateway 26 may keep mean and standard deviation
for a chosen set of parameters across a chosen set of
time-periods. The parameters may include source and
20   destination host or network addresses, protocols, types of
packets, number of open connections or of packets sent in
either direction, etc. Time periods for statistical
aggregation may range from minutes to weeks. The device
will have configurable thresholds and will raise warnings
25   when one of the measured parameters exceeds the
corresponding threshold.

The gateway 26 can also log packets. In addition to
logging full packet streams, the gateway 26 has the
capability to log only specific packets identified as part
30   of an attack (e.g., fragmented UDP packets or TCP SYN
packets that are part of a SYN flood attack). This
feature of the gateway 26 enables administrators to
quickly identify the important properties of the attack.

-21-

Building a DoS-resistant network

   The network of gateways 26, data collectors 28, and
control center 24 are made DoS resistant by combining and
5 applying several techniques. These techniques include the
use of SYN cookies and "hashcash" to make devices more
resistant to SYN floods and other attacks that occur at
connection setup time. Also, the data center can use
authentication and encryption for all connections.
10 Private/public key pairs are placed on machines before
deployment to avoid man-in-the-middle attacks. The
control center 24 can have multiple physical connections
from different upstream network service providers. The
network over which the data center communicates between
15 gateways and data collectors is a private redundant
network that is inaccessible to attackers.

   Information exchange between gateways/data collectors
and the control center is efficient by transferring only
statistical data or minimal header information, and by
20 compressing all data.

   This application includes an APPENDIX A attached
hereto and incorporated herein by reference. APPENDIX A
includes Click code for monitor software.

   This application also includes an APPENDIX B attached
25 hereto and incorporated herein by reference. APPENDIX B
sets out additional modules for a Click Router that
pertains to thwarting DoS attacks. "Click" is a modular
software router system developed by The Massachusetts
Institute of Technology's Parallel and Distributed
30 Operating Systems group. A Click router is an
interconnected collection of modules or elements used to
control a router's behavior when implemented on a computer
system.

Other embodiments are within the scope of the
appended claims.

APPENDIX A

```
      network monitor/defender
      //
  5   // Has two operating modes: if MONITOR is defined, it monitors the network
      // instead of defending against DDoS attacks.
      //
      // ICMP_RATE specifies how many ICMP packets allowed per second. Default is
      // 500. UDP_NF_RATE specifies how many non-fragmented UDP (and other non-
 10   TCP
      // non-ICMP) packets allowed per second. Default is 3000. UDP_F_RATE specifies
      // how many fragmented UDP (and other non-TCP non-ICMP) packets allowed per
      // second. Default is 1000. All the SNIFF rates specify how many bad packets
      // sniffed per second.
 15   //
      // For example, if MONITOR is not defiend, and all SNIFF rates are 0, then the
      // configuration defends against DDoS attacks, but does not report bad
      // packets.
      //
 20   // can read:
      //   - tcp_monitor: aggregate rates of different TCP packets
      //   - ntcp_monitor: aggregate rates of different non TCP packets
      //   - icmp_unreach_counter: rate of ICMP unreachable pkts
      //   - tcp_ratemon: incoming and outgoing TCP rates, grouped by non-local hosts
 25   //   - ntcp_ratemon: incoming UDP rates, grouped by non-local hosts
      //
      // Note: handles full fast ethernet, around 134,500 64 byte packets, from
      // attacker.
      //
 30   //
      // TODO:
      //   - fragmented packet monitor

      #ifndef ICMP_RATE
 35   #define ICMP_RATE          500
      #endif

      #ifndef UDP_NF_RATE
      #define UDP_NF_RATE        2000
 40   #endif

      #ifndef UDP_F_RATE
      #define UDP_F_RATE         1000
      #endif
 45
      #ifndef SUSP_SNIFF
      #define SUSP_SNIFF         100     // # of suspicious pkts sniffed per sec
```

```
      #endif

      #ifndef TCP_SNIFF
      #define TCP_SNIFF  100      // # of TCP flood pkts sniffed per sec
 5    #endif

      #ifndef ICMP_SNIFF
      #define ICMP_SNIFF       75    // # of ICMP flood pkts sniffed per sec
      #endif
10
      #ifndef UDP_NF_SNIFF
      #define UDP_NF_SNIFF     75    // # of non-frag UDP flood pkts sniffed per sec
      #endif

15    #ifndef UDP_F_SNIFF
      #define UDP_F_SNIFF      75    // # of frag UDP flood pkts sniffed per sec
      #endif

      #include "if.click"
20
      #include "sampler.click"

      #include "sniffer.click"
      ds_sniffer :: Sniffer(mazu_ds);
25    syn_sniffer :: Sniffer(mazu_syn);
      tcp_sniffer :: Sniffer(mazu_tcp);
      ntcp_sniffer :: Sniffer(mazu_ntcp);

      #include "synkill.click"
30    #ifdef MONITOR
      tcpsynkill :: SYNKill(true);
      #else
      tcpsynkill :: SYNKill(false);
      #endif
35


      //
      // discards suspicious packets
      //
40
      #include "ds.click"
      ds :: DetectSuspicious(01);

      from_world -> ds;
45    ds [0] -> is_tcp_to_victim :: IPClassifier(tcp, -);
```

```
     #ifdef MONITOR
     ds [1] -> ds_split :: RatedSampler(SUSP_SNIFF);
     #else
     ds [1] -> ds_split :: RatedSplitter(SUSP_SNIFF);
 5   #endif

     ds_split [1] -> ds_sniffer;
     ds_split [0]
     #ifdef MONITOR
10     -> is_tcp_to_victim;
     #else
       -> Discard;
     #endif

15   //
     // monitor TCP ratio
     //

     #include "monitor.click"
20   tcp_ratemon :: TCPTrafficMonitor;

     is_tcp_to_victim [0] -> tcp_monitor :: TCPMonitor -> [0] tcp_ratemon;
     from_victim -> is_tcp_to_world :: IPClassifier(tcp, -);
     is_tcp_to_world [0] -> [1] tcp_ratemon;
25
     //
     // enforce correct TCP ratio
     //

30   check_tcp_ratio :: RatioShaper(1,2,40,0.2);
     tcp_ratemon [0] -> check_tcp_ratio;

     #ifdef MONITOR
     check_tcp_ratio [1] -> tcp_split :: RatedSampler(TCP_SNIFF);
35   #else
     check_tcp_ratio [1] -> tcp_split :: RatedSplitter(TCP_SNIFF);
     #endif

     tcp_split [1] -> tcp_sniffer;
40   tcp_split [0]
     #ifdef MONITOR
       -> [0] tcpsynkill;
     #else
       -> Discard;
45   #endif
```

```
      //
      // prevent SYN bomb
      //

5     check_tcp_ratio [0] -> [0] tcpsynkill;
      tcp_ratemon [1] -> [1] tcpsynkill;

      tcpsynkill [0] -> to_victim_s1;
      tcpsynkill [1] -> to_world;
10
      tcpsynkill [2]
      #ifdef MONITOR
        -> syn_sniffer;
      Idle -> to_victim_prio;
15    #else
        -> tcpsynkill_split :: Tee(2)
      tcpsynkill_split [0] -> to_victim_prio;
      tcpsynkill_split [1] -> syn_sniffer;
      #endif
20
      //
      // monitor all non TCP traffic
      //

25    ntcp_ratemon :: IPRateMonitor(PACKETS, 0, 1, 100, 4096, false);
      is_tcp_to_victim [1] -> ntcp_monitor :: NonTCPMonitor -> ntcp_t :: Tee(2);
      ntcp_t [0] -> [0] ntcp_ratemon [0] -> Discard;
      ntcp_t [1] -> [1] ntcp_ratemon;

30    //
      // rate limit ICMP traffic
      //

      ntcp_ratemon [1] -> is_icmp :: IPClassifier(icmp, -);
35    is_icmp [0] -> icmp_split :: RatedSplitter (ICMP_RATE);

      icmp_split [1] -> to_victim_s2;
      icmp_split [0] -> icmp_sample :: RatedSampler (ICMP_SNIFF);

40    icmp_sample [1] -> ntcp_sniffer;
      icmp_sample [0]
      #ifdef MONITOR
        -> to_victim_s2;
      #else
45      -> Discard;
      #endif
```

```
      //
      // rate limit other non TCP traffic (mostly UDP)
      //

5     is_icmp [1] -> is_frag :: Classifier(6/0000, -);

      is_frag [0] -> udp_split :: RatedSplitter (UDP_NF_RATE);

      udp_split [0] -> udp_sample :: RatedSampler (UDP_NF_SNIFF);
10    udp_sample [1] -> ntcp_sniffer;
      udp_sample [0]
      #ifdef MONITOR
        -> to_victim_s2;
      #else
15      -> Discard;
      #endif

      is_frag [1] -> udp_f_split :: RatedSplitter (UDP_F_RATE);

20    udp_f_split [0] -> udp_f_sample :: RatedSampler (UDP_F_SNIFF);
      udp_f_sample [1] -> ntcp_sniffer;
      udp_f_sample [0]
      #ifdef MONITOR
        -> to_victim_s2;
25    #else
        -> Discard;
      #endif


      //
30    // further shape non-TCP traffic with ICMP dest unreachable packets
      //

      is_tcp_to_world [1] -> is_icmp_unreach :: IPClassifier(icmp type 3, -);
      is_icmp_unreach [1] -> to_world;
35    is_icmp_unreach [0]
        -> icmp_unreach_counter :: Counter;

      #ifndef MONITOR

40    icmp_unreach_counter -> icmperr_sample :: RatedSampler (UNREACH_SNIFF);
      icmperr_sample [1] -> ntcp_sniffer;
      icmperr_catcher :: AdaptiveShaper(.1, 50);
      udp_split [1] -> [0] icmperr_catcher [0] -> to_victim_s2;
      udp_f_split [1] -> [0] icmperr_catcher;
45    icmperr_sample [0] -> [1] icmperr_catcher [1] -> to_world;
```

```
        #else

        udp_split [1] -> to_victim_s2;
        udp_f_split [1] -> to_victim_s2;
 5      icmp_unreach_counter [0] -> to_world;

        #endif


10      == if.click
```

```
        //
        // input/output ethernet interface for router
15      //
        // this configuration file leaves the following elements to be hooked up:
        //
        // from_victim:   packets coming from victim
        // from_world:    packets coming from world
20      // to_world:      packets going to world
        // to_victim_prio: high priority packets going to victim
        // to_victim_s1:  best effort packets going to victim, tickets = 4
        // to_victim_s2:  best effort packets going to victim, tickets = 1
        //
25      // see bridge.click for a simple example of how to use this configuration.

        // victim network is 1.0.0.0/8 (eth1, 00:C0:95:E2:A8:A0)
        // world network is 2.0.0.0/8 (eth2, 00:C0:95:E2:A8:A1) and
        //              3.0.0.0/8 (eth3, 00:C0:95:E1:B5:38)
30
        // ethernet input/output, forwarding, and arp machinery

        tol :: ToLinux;
        t :: Tee(6);
35      t[5] -> tol;

        arpq1_prio :: ARPQuerier(1.0.0.1, 00:C0:95:E2:A8:A0);
        arpq1_s1 :: ARPQuerier(1.0.0.1, 00:C0:95:E2:A8:A0);
        arpq1_s2 :: ARPQuerier(1.0.0.1, 00:C0:95:E2:A8:A0);
40      ar1 :: ARPResponder(1.0.0.1/32 00:C0:95:E2:A8:A0);
        arpq2 :: ARPQuerier(2.0.0.1, 00:C0:95:E2:A8:A1);
        ar2 :: ARPResponder(2.0.0.1/32 00:C0:95:E2:A8:A1);
        arpq3 :: ARPQuerier(3.0.0.1, 00:C0:95:E1:B5:38);
        ar3 :: ARPResponder(3.0.0.1/32 00:C0:95:E1:B5:38);
45
```

```
     psched :: PrioSched;
     ssched :: StrideSched (4,1);

     out1_s1 :: Queue(256) -> [0] ssched;
5    out1_s2 :: Queue(256) -> [1] ssched;
     out1_prio :: Queue(256) -> [0] psched;
     ssched -> [1] psched;
     psched[0] -> to_victim_counter :: Counter -> todev1 :: ToDevice(eth1);

10   out2 :: Queue(1024) -> todev2 :: ToDevice(eth2);
     out3 :: Queue(1024) -> todev3 :: ToDevice(eth3);

     to_victim_prio :: Counter -> tvpc :: Classifier(16/01, -);
     tvpc [0] -> [0]arpq1_prio -> out1_prio;
15   tvpc [1] -> Discard;

     to_victim_s1 :: Counter -> tvs1c :: Classifier(16/01, -);
     tvs1c [0] -> [0]arpq1_s1 -> out1_s1;
     tvs1c [1] -> Discard;

20
     to_victim_s2 :: Counter -> tvs2c :: Classifier(16/01, -);
     tvs2c [0] -> [0]arpq1_s2 -> out1_s2;
     tvs2c [1] -> Discard;

25   to_world :: Counter -> twc :: Classifier(16/02, 16/03, -);
     twc [0] -> [0]arpq2 -> out2;
     twc [1] -> [0]arpq3 -> out3;
     twc [2] -> Discard;

30   from_victim :: GetIPAddress(16);
     from_world :: GetIPAddress(16);

     indev1 :: PollDevice(eth1);
     c1 :: Classifier (12/0806 20/0001,
35                  12/0806 20/0002,
                     12/0800,
                     -);
     indev1 -> from_victim_counter :: Counter -> c1;
     c1 [0] -> ar1 -> out1_s1;
40   c1 [1] -> t;
     c1 [2] -> Strip(14) -> MarkIPHeader -> from_victim;
     c1 [3] -> Discard;
     t[0] -> [1] arpq1_prio;
     t[1] -> [1] arpq1_s1;
45   t[2] -> [1] arpq1_s2;
```

```
      indev2 :: PollDevice(eth2);
      c2 :: Classifier (12/0806 20/0001,
                 12/0806 20/0002,
                      12/0800,
 5                       -);
      indev2 -> from_attackers_counter :: Counter -> c2;
      c2 [0] -> ar2 -> out2;
      c2 [1] -> t;
      c2 [2] -> Strip(14) -> MarkIPHeader -> from_world;
10    c2 [3] -> Discard;
      t[3] -> [1] arpq2;

      indev3 :: PollDevice(eth3);
      c3 :: Classifier (12/0806 20/0001,
15               12/0806 20/0002,
                      12/0800,
                       -);
      indev3 -> c3;
      c3 [0] -> ar3 -> out3;
20    c3 [1] -> t;
      c3 [2] -> Strip(14) -> MarkIPHeader -> from_world;
      c3 [3] -> Discard;
      t[4] -> [1] arpq3;

25    ScheduleInfo(todev1 10, indev1 1,
                 todev2 10, indev2 1,
                   todev3 10, indev3 1);


30
      == sampler.click
```

```
      elementclass RatedSampler {
35    $rate |
        input -> s :: RatedSplitter($rate);
        s [0] -> [0] output;
        s [1] -> t :: Tee;
        t [0] -> [0] output;
40      t [1] -> [1] output;
      };

      elementclass ProbSampler {
      $prob |
45    input -> s :: ProbSplitter($prob);
        s [0] -> [0] output;
```

```
  s [1] -> t :: Tee;
  t [0] -> [0] output;
  t [1] -> [1] output;
};
```

5

`== sniffer.click`

```
// setup a sniffer device, with a testing IP network address
//
// argument: name of the device to setup and send packet to

elementclass Sniffer {
  $dev |
  FromLinux($dev, 192.0.2.0/24) -> Discard;

  input -> sniffer_ctr :: Counter
      -> ToLinuxSniffers($dev);
};
```

10

15

20

`// note: ToLinuxSniffers take 2 us`

`== synkill.click`

25

```
//
// SYNKill
//
// argument: true if monitor only, false if defend
//
// expects: input 0 - TCP packets with IP header to victim network
//          input 1 - TCP packets with IP header to rest of internet
//
// action:  protects against SYN flood by prematurely finishing the three way
//          handshake protocol.
//
// outputs: output 0 - TCP packets to victim network
//          output 1 - TCP packets to rest of internet
//          output 2 - control packets (created by TCPSYNProxy) to victim
//

elementclass SYNKill {
  $monitor |
  // TCPSYNProxy(MAX_CONNS, THRESH, MIN_TIMEOUT, MAX_TIMEOUT,
  PASSIVE);
  tcpsynproxy :: TCPSYNProxy(128, 4, 8, 80, $monitor);
```

30

35

40

45

```
       input [0] -> [0] tcpsynproxy [0] -> [0] output;
       input [1] -> [1] tcpsynproxy [1] -> [1] output;
       tcpsynproxy [2]
          -> GetIPAddress(16)
   5      -> [2] output;
     };


     == ds.click
```

10
```
     //
     // DetectSuspicious
     //
     // argument: takes in the victim network address and mask. for example:
15   //     DetectSuspicious(121A0400%FFFFFF00)
     //
     // expects: IP packets.
     //
     // action: detects packets with bad source addresses;
20   //        detects direct broadcast packets;
     //        detects ICMP redirects.
     //
     // outputs: output 0 push out accepted packets, unmodified;
     //        output 1 push out rejected packets, unmodified.
25   //

     elementclass DetectSuspicious {
     $vnet |

30   // see http://www.ietf.org/internet-drafts/draft-manning-dsua-03.txt for a
     // list of bad source addresses to block out. we also block out packets with
     // broadcast dst addresses.

     bad_addr_filter :: Classifier(
35     12/$vnet,              // port  0: victim network address
       12/00,                // port  1: 0.0.0.0/8 (special purpose)
       12/7F,                // port  2: 127.0.0.0/8 (loopback)
       12/0A,                // port  3: 10.0.0.0/8 (private network)
       12/AC10%FFF0,          // port  4: 172.16.0.0/12 (private network)
40     12/C0A8,              // port  5: 192.168.0.0/16 (private network)
       12/A9FE,              // port  6: 169.254.0.0/16 (autoconf addr)
       12/C0000200%FFFFFF00,    // port  7: 192.0.2.0/24 (testing addr)
       12/E0%F0,              // port  8: 224.0.0.0/4 (class D - multicast)
       12/F0%F0,              // port  9: 240.0.0.0/4 (class E - reserved)
45     12/00FFFFFF%00FFFFFF,    // port 10: broadcast saddr X.255.255.255
```

```
        12/0000FFFF%0000FFFF,       // port 11: broadcast saddr X.Y.255.255
        12/000000FF%000000FF,       // port 12: broadcast saddr X.Y.Z.255
        16/00FFFFFF%00FFFFFF,       // port 13: broadcast daddr X.255.255.255
        16/0000FFFF%0000FFFF,       // port 14: broadcast daddr X.Y.255.255
5       16/000000FF%000000FF,       // port 15: broadcast daddr X.Y.Z.255
        9/01,              // port 16: ICMP packets
        -);

        input -> bad_addr_filter;
10      bad_addr_filter [0]  -> [1] output;
        bad_addr_filter [1]  -> [1] output;
        bad_addr_filter [2]  -> [1] output;
        bad_addr_filter [3]  -> [1] output;
        bad_addr_filter [4]  -> [1] output;
15      bad_addr_filter [5]  -> [1] output;
        bad_addr_filter [6]  -> [1] output;
        bad_addr_filter [7]  -> [1] output;
        bad_addr_filter [8]  -> [1] output;
        bad_addr_filter [9]  -> [1] output;
20      bad_addr_filter [10] -> [1] output;
        bad_addr_filter [11] -> [1] output;
        bad_addr_filter [12] -> [1] output;
        bad_addr_filter [13] -> [1] output;
        bad_addr_filter [14] -> [1] output;
25      bad_addr_filter [15] -> [1] output;

        // ICMP rules: drop all fragmented and redirect ICMP packets

        bad_addr_filter [16]
30         -> is_icmp_frag_packets :: Classifier(6/0000, -);
        is_icmp_frag_packets [1] -> [1] output;

        is_icmp_frag_packets [0]
           -> is_icmp_redirect :: IPClassifier(icmp type 5, -);
35      is_icmp_redirect [0] -> [1] output;

        // finally, allow dynamic filtering of bad src addresses we discovered
        // elsewhere in our script.

40      dyn_saddr_filter :: AddrFilter(SRC, 32);
        is_icmp_redirect [1] -> dyn_saddr_filter;
        bad_addr_filter [17] -> dyn_saddr_filter;
        dyn_saddr_filter [0] -> [0] output;
        dyn_saddr_filter [1] -> [1] output;
45
        };
```

== monitor.click

```
       //
   5   // TCPTrafficMonitor
       //
       // expects: input 0 takes TCP packets w IP header for the victim network;
       //         input 1 takes TCP packets w IP Header from the victim network.
       // action:  monitors packets passing by
  10   // outputs: output 0 - packets for victim network, unmodified;
       //         output 1 - packets from victim network, unmodified.
       //

       elementclass TCPTrafficMonitor {
  15     // fwd annotation = rate of src_addr, rev annotation = rate of dst_addr
         tcp_rm :: IPRateMonitor(PACKETS, 0, 1, 100, 4096, true);

         // monitor all TCP traffic to victim, monitor non-RST packets from victim
         input [0] -> [0] tcp_rm [0] -> [0] output;
  20     input [1] -> i1_tcp_rst :: IPClassifier(rst, -);
         i1_tcp_rst[0] -> [1] output;
         i1_tcp_rst[1] -> [1] tcp_rm [1] -> [1] output;
       };
```

  25

  30   20094505.doc

Appendix listing of additional Click modules ("elements").

ADAPTIVESHAPER(n)   ADAPTIVESHAPER(n)

5

NAME
        AdaptiveShaper - Click element

SYNOPSIS
10
        AdaptiveShaper(DROP_P, REPRESS_WEIGHT)

PROCESSING TYPE
        Push

15 DESCRIPTION
        AdaptiveShaper is a push element that shapes input traffic
        from input port 0 to output port 0. Packets are shaped
        based on "repressive" traffic from input port 1 to output
        port 1. Each repressive packet increases a multiplicative
20   factor f by REPRESS_WEIGHT. Each input packet is killed
        instead of pushed out with f * DROP_P probability. After
        each dropped packet, f is decremented by 1.

25 EXAMPLES
    ELEMENT HANDLERS
        drop_prob (read/write)
            value of DROP_P

30

        repress_weight (read/write)
            value of REPRESS_WEIGHT

35

    SEE ALSO
40   PacketShaper(n), RatioShaper(n)

45

50

55

NAME
        AdaptiveSplitter - Click element

SYNOPSIS
        AdaptiveSplitter(RATE)

PROCESSING TYPE
        Push

DESCRIPTION
        AdaptiveSplitter attempts to split RATE number of packets
        per second for each address. It takes the fwd_rate annota-
        tion set by IPRateMonitor(n), and calculates a split prob-
        ability based on that rate. The split probability attempts
        to guarantee RATE number of packets per second. That is,
        the lower the fwd_rate, the higher the split probability.

        Splitted packets are on output port 1. Other packets are
        on output port 0.

EXAMPLES
        AdaptiveSplitter(10);

SEE ALSO
        IPRateMonitor(n)

ADDRFILTER(n)   ADDRFILTER(n)

NAME
5   AddrFilter - Click element

SYNOPSIS
         AddrFilter(DST/SRC, N)

10   PROCESSING TYPE
         Push

DESCRIPTION
         Filters out IP addresses given in write handler. DST/SRC
15   specifies which IP address (dst or src) to filter. N is
         the maximum number of IP addresses to filter at any time.
         Packets passed the filter goes to output 0. Packets
         rejected by the filter goes to output 1.

20   AddrFilter looks at addresses in the IP header of the
         packet, not the annotation. It requires an IP header anno-
         tation (MarkIPHeader(n)).

25   EXAMPLES
         AddrFilter(DST, 8)

         Filters by dst IP address, up to 8 addresses.

30

     ELEMENT HANDLERS
         table ((read))
             Dumps the list of addresses to filter and
35

         add ((write))
             Expects a string "addr mask duration", where addr is
40   an IP address, mask is a netmask, and duration is the
             number of seconds to filter packets from this IP
             address. If 0 is given as a duration, filtering is
             removed. For example, "18.26.4.0 255.255.255.0 10"
             would filter out all packets with dst or source
45   address 18.26.4.* for 10 seconds. New addresses push
             out old addresses if more than N number of filters
             already exist.

50

         reset ((write))
             Resets on write.

55   SEE ALSO
         Classifier(n), MarkIPHeader(n)

ATTACKLOG(n)   ATTACKLOG(n)

NAME
5   AttackLog - Click element; maintains a log of attack pack-
           ets in SAVE_FILE.

SYNOPSIS
           AttackLog(SAVE_FILE, INDEX_FILE, MULTIPLIER, PERIOD)
10
PROCESSING TYPE
           Agnostic

DESCRIPTION
15   Maintains a log of attack packets in SAVE_FILE. Expects
           packets with ethernet headers, but with the first byte of
           the ethernet header replaced by an attack bitmap, set in
           kernel. AttackLog classifies each packet by the type of
           attack, and maintains an attack rate for each type of
20   attack. The attack rate is the arrival rate of attack
           packets multiplied by MULTIPLIER.

           AttackLog writes a block of data into SAVE_FILE once every
           PERIOD number of seconds. Each block is composed of
25   entries of the following format:

           delimiter (0s)   4 bytes
           time   4 bytes
           attack type   2 bytes
30   attack rate   4 bytes
           ip header and payload (padded)   86 bytes
           ----
                                            100 bytes

35   Entries with the same attack type are written out
           together. A delimiter of 0xFFFFFFFF is written to the end
           of each block.

           A circular timed index file is kept in INDEX_FILE along
40   side the attacklog. See CircularIndex(n).

SEE ALSO
45   CircularIndex(n)

NAME
  CircularIndex - Click element; writes a timed circular
  index into a file.

SYNOPSIS
  CircularIndex

DESCRIPTION
  CircularIndex writes an entry into a circular index file
  periodically. The entry contains a 32 bit time stamp and a
  64 bit offset into another file. The following functions
  are exported by CircularIndex.

  int initialize(String FILE, unsigned PERIOD, unsigned
  WRAP) - Use FILE as the name of the circular file. Writes
  entry into circular file once every PERIOD number of sec-
  onds. WRAP is the number of writes before wrap around. If
  WRAP is 0, the file is never wrapped around.

  void write_entry(long long offset) - Write entry into
  index file. Use offset as the offset in the entry.

SEE ALSO
  GatherRates(n), MonitorSRC16(n)

DISCARDTODEVICE(n)   DISCARDTODEVICE(n)

NAME
5   DiscardToDevice - Click element; drops all packets. gives
         skbs to device.

SYNOPSIS
         DiscardToDevice(DEVICE)
10
PROCESSING TYPE
         Agnostic

DESCRIPTION
15   Discards all packets received on its single input. Gives
         all skbuffs to specified device.

20

NAME
5   FilterTCP - Click element

SYNOPSIS
        FilterTCP()

10 PROCESSING TYPE
        Push

    DESCRIPTION
        Expects TCP/IP packets as input.
15

FROMTUNNEL(n)

NAME
5   FromTunnel - Click element

SYNOPSIS
         FromTunnel(TUNNEL, SIZE, BURST)

10 PROCESSING TYPE
         Push

DESCRIPTION
         Grab packets from kernel KUTunnel element. TUNNEL is a
15   /proc file in the handler directory of the KUTunnel ele-
         ment. SIZE specifies size of the buffer to use (if packet
         in kernel has larger size, it is dropped). BURST specifies
         the maximum number of packets to push each time FromTunnel
         runs.
20

EXAMPLES
         FromTunnel(/proc/click/tunnel/config)

25

NAME
       GatherRates - Click element

SYNOPSIS
       GatherRates(SAVE_FILE, INDEX_FILE, TCPMONITOR_IN, TCPMONI-
       TOR_OUT, MONITOR_PERIOD, SAVE_PERIOD);

PROCESSING TYPE
       Agnostic

DESCRIPTION
       Gathers aggregate traffic rates from TCPMonitor(n) element
       at TCPMONITOR_IN and TCPMONITOR_OUT.

       Aggregate   rates   are   gathered   once every MONITOR_PERIOD
       number of seconds.   They are averaged and saved to
       SAVE_FILE   once   every SAVE_PERIOD number of seconds.   The
       following entry is written to SAVE_FILE for both incoming
       and outgoing traffic:

       delimiter (0s)   4 bytes
       time   4 bytes
       type (0 for incoming traffic, 1 for outgoing traffic)   4 bytes
       packet rate of tcp traffic   4 bytes
       byte rate of tcp traffic   4 bytes
       rate of fragmented tcp packets   4 bytes
       rate of tcp syn packets   4 bytes
       rate of tcp fin packets   4 bytes
       rate of tcp ack packets   4 bytes
       rate of tcp rst packets   4 bytes
       rate of tcp psh packets   4 bytes
       rate of tcp urg packets   4 bytes
       packet rate of non-tcp traffic   4 bytes
       byte rate of non-tcp traffic   4 bytes
       rate of fragmented non-tcp traffic   4 bytes
       rate of udp packets   4 bytes
       rate of icmp packets   4 bytes
       rate of all other packets   4 bytes

       ---
                                                                72 bytes

       After   the   two entries, an additional delimiter of
       0xFFFFFFFF is written. SAVE_PERIOD must be a multiple of
       MONITOR_PERIOD.

       A circular timed index is kept along side the stats file.
       See CircularIndex(n).

SEE ALSO
       TCPMonitor(n) CircularIndex(n)

NAME
5   ICMPPINGEncap - Click element

SYNOPSIS
         ICMPPINGEncap(SADDR, DADDR [, CHECKSUM?])

10   DESCRIPTION
         Encapsulates each incoming packet in a ICMP ECHO/IP packet
         with source address SADDR and destination address DADDR.
         The ICMP and IP checksums are calculated if CHECKSUM? is
         true; it is true by default.
15

     EXAMPLES
         ICMPPINGEncap(1.0.0.1, 2.0.0.2)

20

NAME
5   KUTunnel - Click element; stores packets in a FIFO queue
         that userlevel Click elements pull from.

SYNOPSIS
         KUTunnel([CAPACITY])
10
PROCESSING TYPE
         Push

DESCRIPTION
15   Stores incoming packets in a first-in-first-out queue.
         Drops incoming packets if the queue already holds CAPACITY
         packets. The default for CAPACITY is 1000. Allows user-
         level elements to pull from queue via ioctl.

20
ELEMENT HANDLERS
         length (read-only)
                 Returns the current number of packets in the queue.

25

         highwater_length (read-only)
                 Returns the maximum number of packets that have ever
                 been in the queue at once.
30

         capacity (read/write)
                 Returns or sets the queue's capacity.
35

         drops (read-only)
                 Returns the number of packets dropped so far.
40

45   SEE ALSO
         Queue(n)

LOGGER(n)   LOGGER(n)

NAME
      Logger - Click element

SYNOPSIS
      Logger(LOGFILE, INDEXFILE [, LOCKFILE, COMPRESS?, LOGSIZE,
      PACKETSIZE, WRITEPERIOD, IDXCOALESC, PACKETFREQ, MAXBUF-
      SIZE])

PROCESSING TYPE
      Agnostic

DESCRIPTION
      Has one input and one output.

      Write packets to log file LOGFILE. A log file is a circu-
      lar buffer containing packet records of the following
      form:

```
      ---------------------
      |    time (6 bytes)   |
      |   length (2 bytes)  |
      |    packet data      |
      ---------------------
```

      Time is the number of seconds and milliseconds since the
      Epoch at which a given packet was seen. Length is the
      length (in bytes) of the subsequent logged packet data.
      One or more packet records constitute one packet sequence.

      INDEXFILE maintains control data for LOGFILE. It contains
      a sequence of sequence control blocks of the following
      form:

```
      --------------------------
      |     date (4 bytes)     |
      | offset (sizeof off_t)  |
      | length (sizeof off_t)  |
      --------------------------
```

      Date is a number of seconds since the Epoch. Offset
      points to the beginning of the packet sequence, i.e. to
      the earliest packet record having a time no earlier than
      date. Length is the number of bytes in the packet
      sequence. IDXCOALESC is the number of coalescing packets
      that a control block always cover. Default is 1024.

      Sequence control blocks are always stored in increasing
      chronological order; offsets need not be in increasing
      order, since LOGFILE is a circular buffer.

      COMPRESS? (true, false) determines whether packet data is
      logged in compressed form. Default is true.

LOGSIZE specifies the maximum allowable log file size, in KB. Default is 2GB. LOGSIZE=0 means "grow as necessary".

5

PACKETSIZE is the amount of packet data stored in the log. By default, the first 120 (128-6-2) bytes are logged and the remainder is discarded. Note that PACKETSIZE is the amount of data logged before compression.

10

Packet records are buffered in memory and periodically written to LOGFILE as a packet sequence. WRITEPERIOD is the number of seconds that should elapse between writes to LOGFILE. Default is 60. INDEXFILE is updated every time a sequence of buffered packet records is written to LOGFILE. The date in the sequence control block is the time of the

15

first packet record of the sequence, with milliseconds omitted.

PACKETFREQ is an estimate of the number of packets per second that will be passing through Logger. Combined with

20

WRITEPERIOD, this is a hint of buffer memory requirements. By default, PACKETFREQ is 1000. Since by default WRITEPE-RIOD is 60 and each packet record is at most 128 bytes, Logger normally allocates 7500KB of memory for the buffer. Logger will grow the memory buffer as needed up to a maxi-

25

mum of MAXBUFSIZE KB, at which point the buffered packet records are written to disk even if WRITEPERIOD seconds have not elapsed since the last write. Default MAXBUFSIZE is 65536 (64MB).

30

NAME
5   .MonitorSRC16 - Click element

SYNOPSIS
         MonitorSRC16(SAVE_FILE, INDEX_FILE, MULTIPLIER, PERIOD,
         WRAP)
10

PROCESSING TYPE
         Agnostic

DESCRIPTION
15   Examines src address of packets passing by. Collects
         statistics for each 16 bit IP address prefix. The follow-
         ing data structure is written to SAVE_FILE for every 16
         bit IP address prefix every PERIOD number of seconds.

20   delimiter (0s)   (4 bytes)
         time   (4 bytes)
         addr   (4 bytes)
         tcp rate   (4 bytes)
         non tcp rate   (4 bytes)
25   percent of tcp   (1 byte)
         percent of tcp frag   (1 byte)
         percent of tcp syn   (1 byte)
         percent of tcp fin   (1 byte)
         percent of tcp ack   (1 byte)
30   percent of tcp rst   (1 byte)
         percent of tcp psh   (1 byte)
         percent of tcp urg   (1 byte)
         percent of non tcp frag   (1 byte)
         percent of udp   (1 byte)
35   percent of icmp   (1 byte)
         reserved   (1 byte)
         ----
                                     32 bytes

40   TCP and non TCP rates are multiplied by MULTIPLIER. An
         additional delimiter of 0xFFFFFFFF is written at the end
         of a block of entries.

         WARP specifies the number of writes before wrap-around.
45   For example, if PERIOD is 60, WARP is 5, then every 5 min-
         utes, the stats file wrap around.

         A timed circular index is maintained along side the
         statistics file in INDEX_FILE. See CircularIndex(n).
50

SEE ALSO
         CircularIndex(n)
55

RANDOMTCPIPENCAP(n)   RANDOMTCPIPENCAP(n)

NAME
5   RandomTCPIPEncap - Click element

SYNOPSIS
           RandomTCPIPEncap(DA BITS [DP SEQN ACKN CHECKSUM SA MASK])

10 PROCESSING TYPE
           Agnostic

DESCRIPTION
           Encapsulates each incoming packet in a TCP/IP packet with
15   random source address and source port, destination address
           DA, and control bits BITS. If BITS is -1, control bits
           are also generated randomly.   If destination port DP,
           sequence number SEQN, or ack number ACKN is specified and
           non-zero, it is used. Otherwise, it is generated randomly
20   for each packet. IP and TCP checksums are calculated if
           CHECKSUM is true; it is true by default. SEQN and ACKN
           should be in host order.   SA and MASK are optional IP
           address; if they are specified, the source address is com-
           puted as ((random() & MASK) | SA).
25

EXAMPLES
           RandomTCPIPEncap(1.0.0.2 4)

30

SEE ALSO
           RoundRobinTCPIPEncap(n), RandomUDPIPEncap(n)
35

NAME
5   RandomUDPIPEncap -· Click element

SYNOPSIS
        RandomUDPIPEncap(SADDR SPORT DADDR DPORT PROB [CHECKSUM?]
        [, ...])
10
PROCESSING TYPE
        Agnostic

DESCRIPTION
15   Encapsulates each incoming packet in a UDP/IP packet with
        source address SADDR, source port SPORT, destination
        address DADDR, and destination port DPORT. The UDP check-
        sum is calculated if CHECKSUM? is true; it is true by
        default.
20
        PROB gives the relative chance of this argument be used
        over others.

        ·The RandomUDPIPEncap element adds both a UDP header and an
25   IP header.

        You can a maximum of 16 arguments. Each argument specifies
        a single UDP/IP header. The element will randomly pick one
        argument. The relative probabilities are determined· by
30   PROB.

        The Strip(n) element can be used by the receiver to get
        rid of the encapsulation header.

35 EXAMPLES
        RandomUDPIPEncap(1.0.0.1 1234 2.0.0.2 1234 1 1,
                        1.0.0.2 1093 2.0.0.2 1234 2 1)

        Will send about twice as much UDP/IP packets with 1.0.0.2
40   as its source address than packets with 1.0.0.1 as its
        source address.

45 SEE ALSO
        Strip(n), UDPIPEncap(n), RoundRobinUDPIPEncap(n)

RATEWARN(n)   RATEWARN(n)

NAME
·5   RateWarn – Click element; classifies traffic and sends out
          warnings when rate of traffic exceeds specified rate.

SYNOPSIS
          RateWarn(RATE, WARNFREQ)
10
PROCESSING TYPE
          Push

DESCRIPTION
15   RateWarn has three output ports. It monitors the rate of
          packet arrival on input port 0. Packets are forwarded to
          output port 0 if rate is below RATE.   If rate exceeds
          RATE, it sends out a warning packet WARNFREQ number of
          seconds apart on output port 2 in addition to forwarding
20   all traffic through output port 1.

SEE ALSO
          PacketMeter(n)
25

RATIOSHAPER(n)   RATIOSHAPER(n)

NAME
        RatioShaper - Click element

SYNOPSIS
        RatioShaper(FWD_WEIGHT, REV_WEIGHT, THRESH, P)

PROCESSING TYPE
        Push

DESCRIPTION
        RatioShaper shapes packets based on fwd_rate_anno and
        rev_rate_anno rate annotations set by IPRateMonitor(n).
        If either annotation is greater than THRESH, and
        FWD_WEIGHT*fwd_rate_anno > REV_WEIGHT*rev_rate_anno, the
        packet is moved onto output port 1 with a probability of

$$min(1, P*(fwd\_rate\_anno*FWD\_WEIGHT)/(rev\_rate\_anno*REV\_WEIGHT))$$

        FWD_WEIGHT, REV_WEIGHT, and THRESH are integers. P is a
        decimal between 0 and 1. Otherwise, packet is forwarded on
        output port 0.

EXAMPLES
        RatioShaper(1, 2, 100, .2);

        if fwd_rate_anno more than twice as big as rev_rate_anno,
        and both rates are above 100, drop packets with an initial
        probability of 20 percent.

ELEMENT HANDLERS
        fwd_weight (read/write)
                value of FWD_WEIGHT

        rev_weight (read/write)
                value of REV_WEIGHT

        thresh (read/write)
                value of THRESH

        drop_prob (read/write)
                value of P

SEE ALSO
        Block(n), IPRateMonitor(n)

REPORTACTIVITY(n)

NAME
5   ReportActivity - Click element

SYNOPSIS
           ReportActivity(SAVE_FILE, IDLE)

10   PROCESSING TYPE
           Agnostic

DESCRIPTION
           Write into SAVE_FILE a 32 bit time value followed by an
15   ASCII representation of that time stamp whenever a packet
           comes by. If IDLE number of seconds pass by w/o a packet,
           removes the file.

20

ROUNDROBINSETIPADDRESS(n)   ROUNDROBINSETIPADDRESS(n)

NAME
5   RoundRobinSetIPAddress - Click element

SYNOPSIS
         RoundRobinSetIPAddress(ADDR [, ...])

10   PROCESSING TYPE
         Agnostic

DESCRIPTION
         Set the destination IP address annotation of each packet
15   with an address chosen from the configuration string in
         round robin fashion. Does not compute checksum (use
         SetIPChecksum(n) or SetUDPTCPChecksum(n)) or encapsulate
         the packet with headers (use RoundRobinUDPIPEncap(n) or
         RoundRobinTCPIPEncap(n) with bogus address).
20

EXAMPLES
         RoundRobinUDPIPEncap(2.0.0.2 0.0.0.0 0 0 0)
             -> RoundRobinSetIPAddress(1.0.0.2, 1.0.0.3, 1.0.0.4)
25   -> StoreIPAddress(12)
             -> SetIPChecksum
             -> SetUDPTCPChecksum

         this configuration segment places an UDP header onto each
30   packet, with randomly generated source and destination
         ports. The destination IP address is 2.0.0.2, the source
         IP address is 1.0.0.2, or 1.0.0.3, or 1.0.0.4. Both IP and
         UDP checksum are computed.

35

SEE ALSO
         RoundRobinUDPIPEncap(n), RoundRobinTCPIPEncap(n), UDPIPEn-
         cap(n) , SetIPChecksum(n), SetUDPTCPChecksum(n), SetIPAd-
40   dress(n), StoreIPAddress(n)

NAME
        RoundRobinTCPIPEncap - Click element

SYNOPSIS
        RoundRobinTCPIPEncap(SA DA BITS [SP DP SEQN ACKN CHECKSUM]
        [, ...])

PROCESSING TYPE
        Agnostic

DESCRIPTION
        Encapsulates each incoming packet in a TCP/IP packet with
        source address SA, source port SP (if 0, a random one is
        generated for each packet), destination address DA, and
        destination port DP (if 0, a random one is generated for
        each packet), and control bits BITS. If SEQN and ACKN
        specified are non-zero, they are used. Otherwise, they
        are randomly generated for each packet. IP and TCP check-
        sums are calculated if CHECKSUM is true; it is true by
        default. SEQN and ACKN should be in host order.

        The RoundRobinTCPIPEncap element adds both a TCP header
        and an IP header.

        You can give as many arguments as you'd like. Each argu-
        ment specifies a single TCP/IP header. The element will
        cycle through the headers in round-robin order.

        The Strip(n) element can be used by the receiver to get
        rid of the encapsulation header.

EXAMPLES
        RoundRobinTCPIPEncap(2.0.0.2 1.0.0.2 4 1022 1234 42387492
2394839 1,
                                2.0.0.2 1.0.0.2 2)

SEE ALSO
        Strip(n), RoundRobinUDPIPEncap(n)

NAME

5   RoundRobinUDPIPEncap - Click element

SYNOPSIS
           RoundRobinUDPIPEncap(SADDR DADDR [SPORT DPORT CHECKSUM?]
           [, ...])

10

PROCESSING TYPE
           Agnostic

DESCRIPTION

15   Encapsulates each incoming packet in a UDP/IP packet with
           source address SADDR, source port SPORT, destination
           address DADDR, and destination port DPORT. The UDP and IP
           checksums are calculated if CHECKSUM? is true; it is true
           by default. If either DPORT or SPORT is 0, the port will

20   be randomly generated for each packet.

           The RoundRobinUDPIPEncap element adds both a UDP header
           and an IP header.

25   You can give as many arguments as you'd like. Each argu-
           ment specifies a single UDP/IP header. The element will
           cycle through the headers in round-robin order.

           The Strip(n) element can be used by the receiver to get

30   rid of the encapsulation header.

EXAMPLES
           RoundRobinUDPIPEncap(2.0.0.2 1.0.0.2 1234 1002 1,
                                2.0.0.2 1.0.0.2 1234)

35

SEE ALSO

40   Strip(n), UDPIPEncap(n)

SETSNIFFFLAGS(n)   SETSNIFFFLAGS(n)

NAME
5   SetSniffFlags - Click element; sets sniff flags annota-
         tion.

SYNOPSIS
         SetSniffFlags(FLAGS [, CLEAR])
10
PROCESSING TYPE
         Agnostic

DESCRIPTION
15   ·Set the sniff flags annotation of incoming packets to
         FLAGS bitwise or with the old flags. if CLEAR is true
         (false by default), the old flags are ignored.

20

SETUDPTCPCHECKSUM(n)   SETUDPTCPCHECKSUM(n)

NAME
5   SetUDPTCPChecksum - Click element

SYNOPSIS
         SetUDPTCPChecksum()

10 PROCESSING TYPE
         Agnostic

DESCRIPTION
         Expects an IP packet as input. Calculates the ICMP, UDP or
15   TCP header's checksum and sets the checksum header field.
         Does not modify packet if it is not an ICMP, UDP, or TCP
         packet.

20 SEE ALSO
          SetIPChecksum(n)

NAME
      StoreSniffFlags - Click element; stores sniff flags anno-
      tation in packet

SYNOPSIS
      StoreSniffFlags(OFFSET)

PROCESSING TYPE
      Agnostic

DESCRIPTION
      Copy the sniff flags annotation into the packet at offset
      OFFSET.

TCPMONITOR(n)　　　　　　　　　　　　　　　　　　TCPMONITOR(n)

NAME
5　　　　　TCPMonitor - Click element

SYNOPSIS
　　　　　TCPMonitor()

10　PROCESSING TYPE
　　　　　Push

DESCRIPTION
　　　　　Monitors and splits TCP traffic. Output 0 are TCP traffic,
15　　　　output 1 are non-TCP traffic. Keeps rates of TCP, TCP
　　　　　BYTE, SYN, ACK, PUSH, RST, FIN, URG, and fragmented pack-
　　　　　ets. Also keeps rates of ICMP, UDP, non-TCP BYTE, and non-
　　　　　TCP fragmented traffic.

20
ELEMENT HANDLERS
　　　　　rates (read)
　　　　　　　dumps rates

25

NAME
       TCPSYNProxy - Click element

SYNOPSIS
       TCPSYNProxy(MAX_CONNS, THRESHOLD, MIN_TIMEOUT, MAX_TIMEOUT
       [, PASSIVE])

PROCESSING TYPE
       Push

DESCRIPTION
       Help setup a three way TCP handshake from A to B by sup-
       plying the last ACK packet to the SYN ACK B sent prema-
       turely, and send RST packets to B later if no ACK was
       received from A.

       Expects IP encapsulated TCP packets,. each with its ip
       header marked (MarkIPHeader(n) or CheckIPHeader(n)).

       Aside from responding to SYN ACK packets from B,   TCPSYN-
       Proxy also examines SYN packets from A. When a SYN packet
       from A is received, if there are more than MAX_CONNS num-
       ber of outstanding 3 way connections per destination
       (daddr + dport), reject the SYN packet. If MAX_CONNS is 0,
       no maximum is set.

       The duration from sending an ACK packet to B to sending a
       RST packet to B decreases exponentially as the number of
       outstanding connections to B increases pass 2^THRESHOLD.
       The minimum timeout is MIN_TIMEOUT. If the number of out-
       standing half-open connections is above 2^THRESHOLD, the
       timeout is

       $$\max(MIN\_TIMEOUT, MAX\_TIMEOUT >> (N >> THRESHOLD))$$

       Where N is the number of outstanding half-open connec-
       tions. For example, let the MIN_TIMEOUT value be 4 sec-
       onds, the MAX_TIMEOUT value be 90 seconds, and THRESHOLD
       be 3. Then when N < 8, timeout is 90. When N < 16, timeout
       is 45. When N < 24, timeout is 22 seconds. When N < 32,
       timeout is 11 seconds. When N < 64, timeout is 4 seconds.
       Timeout period does not decrement if the threshold is 0.

       TCPSYNProxy has two inputs, three outputs. All inputs and
       outputs take in and spew out packets with IP header.
       Input 0 expects TCP packets from A to B. Input 1 expects
       TCP packets from B to A. Output 0 spews out packets from A
       to B. Output 1 spews out packets from B to A. Output 2
       spews out the ACK and RST packets generated by the ele-
       ment.

       If PASSIVE is true (it is not by default), monitor TCP
       three-way handshake instead of actively setting it up. In

this case, no ACK or RST packets will be sent. When an outstanding SYN times out, the SYN ACK packet is sent out of output port 2. No packets on port 0 are modified or dropped in this operating mode.

EXAMPLES

        ... -> CheckIPHeader() -> TCPSYNProxy(128,3,10,90) -> ...

ELEMENT HANDLERS
        summary (read)
                Returns number of ACK and RST packets sent and number of SYN packets rejected.

        table (read)
                Dumps the table of half-opened connections.

        reset (write)
                Resets on write.

SEE ALSO
        MarkIPHeader(n), CheckIPHeader(n)

5   NAME
            TCPSYNResp - Click element

       SYNOPSIS
            TCPSYNResp()

10   PROCESSING TYPE
            Push

       DESCRIPTION
            Takes in TCP packet, if it is a SYN packet, return a SYN
15   ACK. This is solely for debugging and performance tunning
            purposes. No checksum is done. Spews out original packet
            on output 0 untouched. Spews out new packet on output 1.

20

25

201094509.doc

What is claimed is:

1. A method of monitoring traffic flow in a monitor device disposed to receive network traffic packets comprises:

5   producing statistics corresponding to a parameter of traffic flow to trace the source of an attack, with producing further comprising:

mapping the traffic flow into a plurality of buckets by applying a hash function "f(h)" to the
10   parameter of the traffic flow to output an integer corresponding to one of the buckets;

accumulating statistics from the packets; and

comparing the number of buckets to a threshold; and

15   determining whether the number of buckets should be divided into more buckets or combined into fewer buckets based on comparing the number of buckets to the threshold.

2. The method of claim 1 wherein the buckets are storage
20 areas in a memory space of the monitor device.

3. The method of claim 1 wherein as the number of buckets changes, the buckets have values derived from the buckets prior to the change.

25

4. The method of claim 1 wherein the hash function adapts to map to the new number of buckets, as the new number of buckets changes.

30 5. The method of claim 1 wherein comparing statistic values comprises:

comparing the value accumulated in the bucket to a threshold that depends on the number of buckets.

6.   The method of claim 1 wherein the parameter is the count of how many packets a data collector or gateway examines.

5

7.   The method of claim 1 wherein as a value of a parameter for one bucket approaches a threshold, the monitoring device raises an alarm.

10 8.   The method of claim 1 wherein the hash function changes periodically in a randomly secret manner so that packets are reassigned to different buckets.

9.   The method of claim 1 wherein the variable number of
15 buckets dynamically adjusts the amount of traffic and number of flows monitored, so that the monitoring device is not vulnerable to a denial of service attack against its own resources.

20 10.   The method of claim 1 wherein the variable number of buckets efficiently identifies the source or sources of attack by breaking down traffic into different buckets and examining statistics accumulated for a parameter and a corresponding threshold in each bucket.

25

11.   The method of claim 1 wherein the traffic is monitored at multiple levels of granularity, from aggregate to individual flows.

30 12.   The method of claim 1 wherein the traffic is applied to monitoring of TCP packet ratios and repressor traffic.

13. The method of claim 1 wherein the threshold is a first threshold and the method further comprises:

comparing accumulated statistic values from the buckets to second threshold values to determine that an

5   event is of significance.

14. A computer program product residing on a computer readable for monitoring network traffic flow in a network comprises instructions for causing a computer to:

10   map traffic flow into a plurality of buckets by applying a hash function "f(h)" to a parameter of the traffic flow to output an integer corresponding to one of the buckets;

accumulate statistics from the packets; and

15   compare the accumulated statistic values from the buckets to configured threshold values corresponding to the number of buckets to determine that an event is of significance; and

adjust the number of buckets as the number of buckets

20   approaches a second threshold.

15. The computer program product of claim 14 wherein based on the second threshold, the buckets are divided into more buckets or combined into fewer buckets

25

16. The computer program product of claim 14 wherein instructions to monitor further comprise instructions to

divide the bucket into a different number of new buckets containing values derived from the original

30   bucket.

17.   The computer program product of claim 14 wherein the
hash function adapts to map to the new number of buckets
as the new number of buckets changes.

5   18.   The computer program product of claim 14 wherein the
parameter is the count of how many packets a data
collector or gateway examines.

19.   The computer program product of claim 14 wherein the
10 buckets are storage areas in the memory space of the
monitor device.

20.   The computer program product of claim 14 wherein the
hash function changes periodically in a randomly secret
15 manner so that packets are reassigned to different
buckets.

21.   A data collector to collect statistical information
about network flows comprises:
20   a computer readable medium;
          a computing device that executes a computer program
product stored on the computer readable medium comprising
instructions to cause the computing device to:
                map traffic flow into a plurality of buckets by
25   applying a hash function "f(h)" to the parameter of
          the traffic flow to output an integer corresponding
          to one of the buckets;
                accumulate statistics from the packets; and
                compare the accumulated statistic values from
30   the buckets to configured threshold values
          corresponding to the number of buckets to determine
          that an event is of significance; and

adjust the number of buckets as the number of buckets

approaches a second threshold; and

a port to link the data collector to a central

control center.

5

22. A method of detecting a denial of service attack on a

victim site, the method comprising:

monitoring network traffic sent to the victim site;

determining a ratio of incoming to outgoing TCP

10 packets destined and sourced from systems at the site;

comparing the ratio to a threshold value; and

raising an alarm when the ratio exceeds the threshold

value.

15 23. The method of claim 22 wherein monitoring further

comprises:

storing the determined ratio over periods of time;

and

analyzing the ratio to determine over time how much

20 and how the ratio exceeds a value to set a threshold.

24. The method of claim 22 wherein monitoring further

comprises:

establishing the ratio, and as the ratio exceeds that

25 established threshold raising the alarm.

25. The method of claim 22 wherein the ratio is about 2:1

for incoming to outgoing TCP packets.

30 26. The method of claim 22 wherein as the ratio exceeds

the threshold it is a indication that the victim is

receiving bad TCP traffic that are not part of any

established TCP connection.

27.   The method of claim 22 wherein as the ratio exceeds
the threshold, exceeding the threshold raises an
indication that the victim is overloaded to acknowledge
5   the requests.

28.   The method of claim 22 wherein monitoring comprises:
      producing statistics corresponding to the ratio by
mapping the traffic flow into a plurality of buckets by
10   applying a hash function "f(h)" to the parameter of the
traffic flow to output an integer corresponding to one of
the buckets.

29.   The method of claim 28 further comprises:
15   communicating the statistics collected to a control
center.

30.   The method of claim 29 wherein communicating occurs
over a dedicated link to the control center via a hardened
20   network.

31.   The method of claim 30 executed on a gateway
physically deployed in the network.

25   32.   A monitor device to collect statistical information
about network flows comprises:
      a computer readable medium;
      a computing device that executes a computer program
product stored on the computer readable medium comprising
30   instructions to cause the computing device to:
      monitor network traffic sent to the victim site;
      determine a ratio of incoming to outgoing TCP packets
destined and sourced from systems at the site;

compare the ratio to a threshold value; and

raise an alarm when the ratio exceeds the threshold

value.

5   33.   The monitor device of claim 32 wherein the monitor is

a gateway.

34.   The monitor device of claim 32 wherein wherein the

ratio is about 2:1 for incoming to outgoing TCP packets.

10

35.   A method of detecting a denial of service attack on a

victim site, the method comprising:

monitoring network traffic sent to the victim site;

determining a ratio of incoming to outgoing TCP

15 packets destined and sourced from systems at the site;

comparing the ratio to a threshold value; and

raising an alarm when the ratio exceeds the threshold

value.

20 36.   The method of claim 35 wherein monitoring further

comprises:

storing the determined ratio over periods of time;

and

analyzing the ratio to determine over time how much

25 and how the ratio exceeds a value to set a threshold.

37.   The method of claim 35 wherein monitoring further

comprises:

establishing the ratio and as the ratio exceeds that

30 threshold raising the alarm.

38.   The method of claim 35 wherein the ratio is about

2:1, for incoming to outgoing TCP packets.

39.   The method of claim 35 wherein the ratio is up to about 3:1, for incoming to outgoing TCP packets.

40.   The method of claim 35 wherein as the ratio exceeds
5   the threshold it is a indication that the victim is receiving bad TCP traffic that are not part of any established TCP connection.

41.   The method of claim 35 wherein monitoring comprises:
10   producing statistics corresponding to the ratio by mapping the traffic flow into a plurality of buckets by applying a hash function "f(h)" to source addresses of the traffic flow to output an integer corresponding to one of the buckets.
15

42.   The method of claim 41 further comprises:
      communicating the statistics collected to a control center.

20 43.   The method of claim 42 wherein communicating occurs over a dedicated link to the control center via a hardened network.

44.   The method of claim 43 executed on a gateway
25 physically deployed in the network.

45.   A method of detecting a denial of service attack on a victim site, the method comprising:
      monitoring network traffic sent to the victim site
30 and identify packets generated from repressor traffic;
      analyzing message header information from identified packets; and

generating logs of contents of the message headers
guard against attackes.

46.   The method of claim 45 wherein the logs are generated
5   for forensic purposes or to selectively block future
messages similar to the ones that caused the repressor
traffic messages.

10   47.   The method of claim 45 wherein the repressor traffic
is ICMP port unreachable messages that are generated by an
end host when it receives a packet on a port that is not
responding to requests.

15   48.   The method of claim 45 wherein repressor traffic is
any network traffic that is indicative of problems or a
potential attack in a main flow of traffic.

49.   The method of claim 45 wherein the method is executed
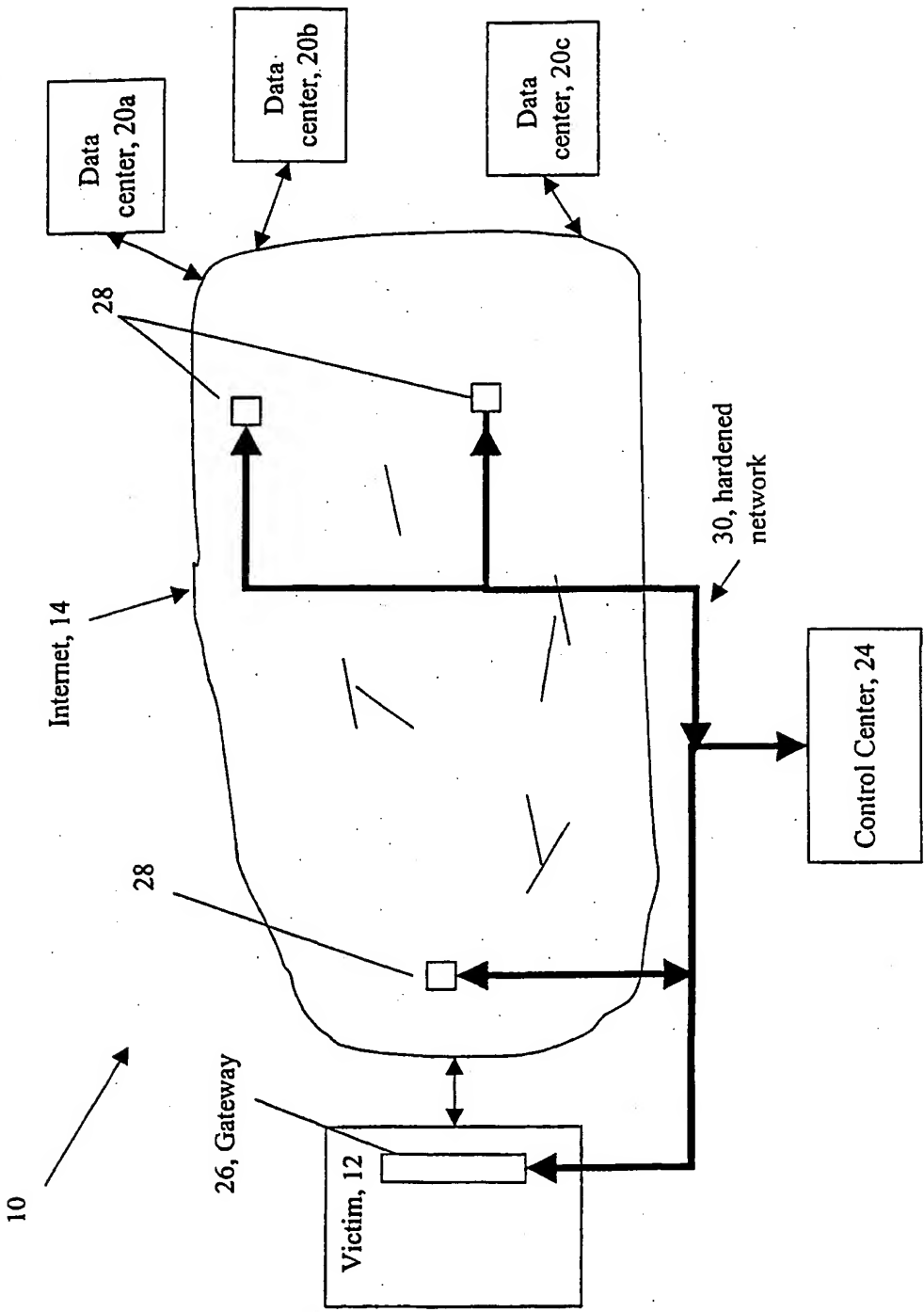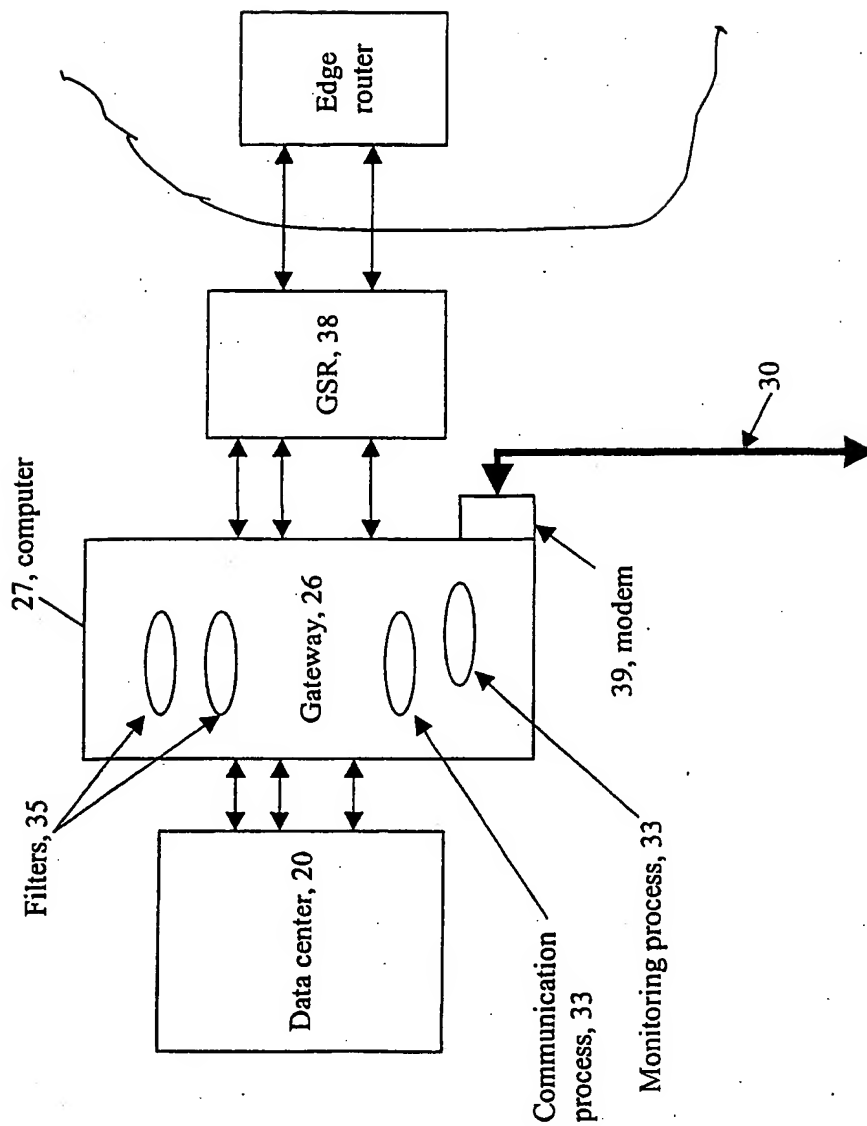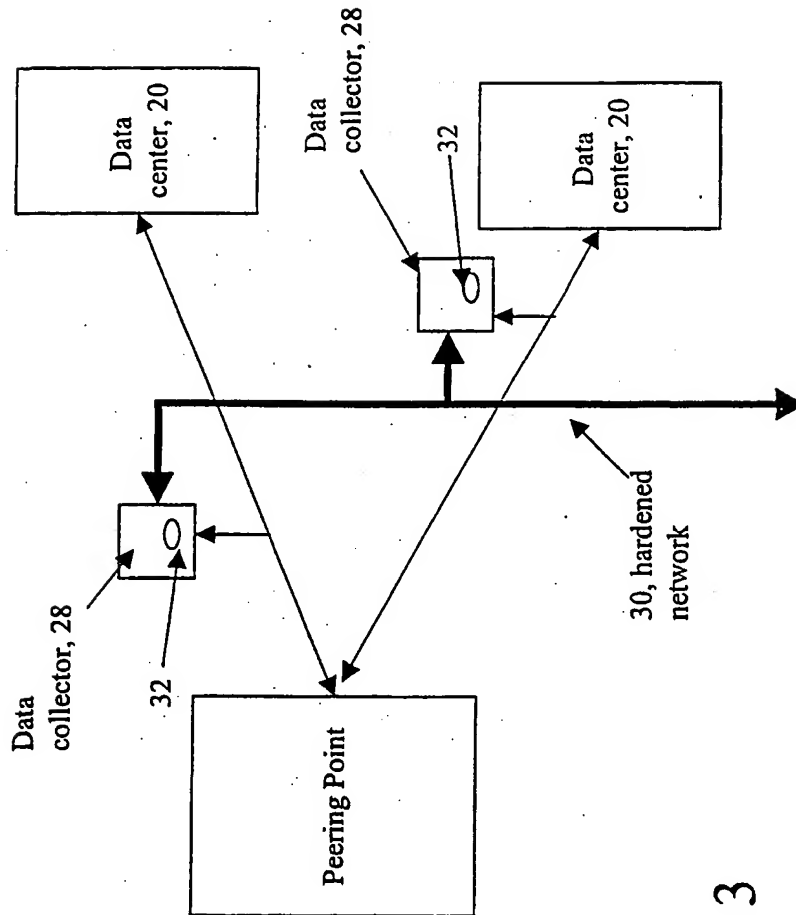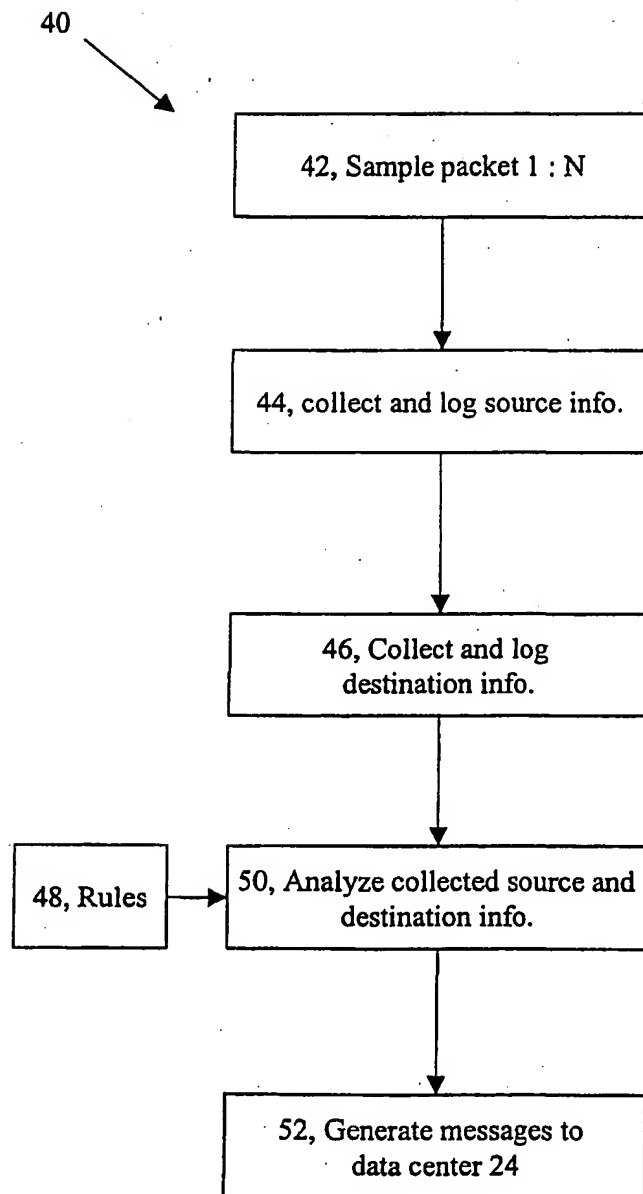20   on a gateway.

Data center, 20a

Data center, 20b

Data center, 20c

28

Internet, 14

28

30, hardened network

Control Center, 24

10

26, Gateway

Victim, 12

FIG. 1

Edge router

GSR, 38

30

27, computer

Gateway, 26

39, modem

Filters, 35

Data center, 20

Communication process, 33

Monitoring process, 33

FIG. 2

FIG. 3

40

42, Sample packet 1 : N

44, collect and log source info.

46, Collect and log destination info.

48, Rules → 50, Analyze collected source and destination info.

52, Generate messages to data center 24

FIG. 4

FIG. 5

32

32a Statistic Collection

33a, Packet ratio process.

33b, Repressor Traffic Process
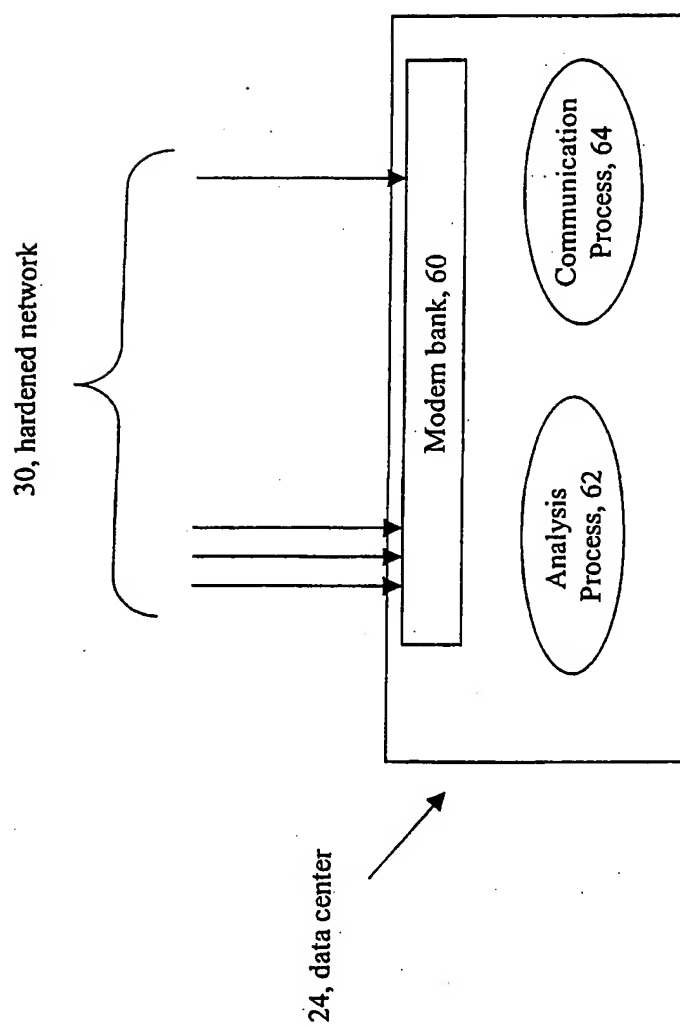
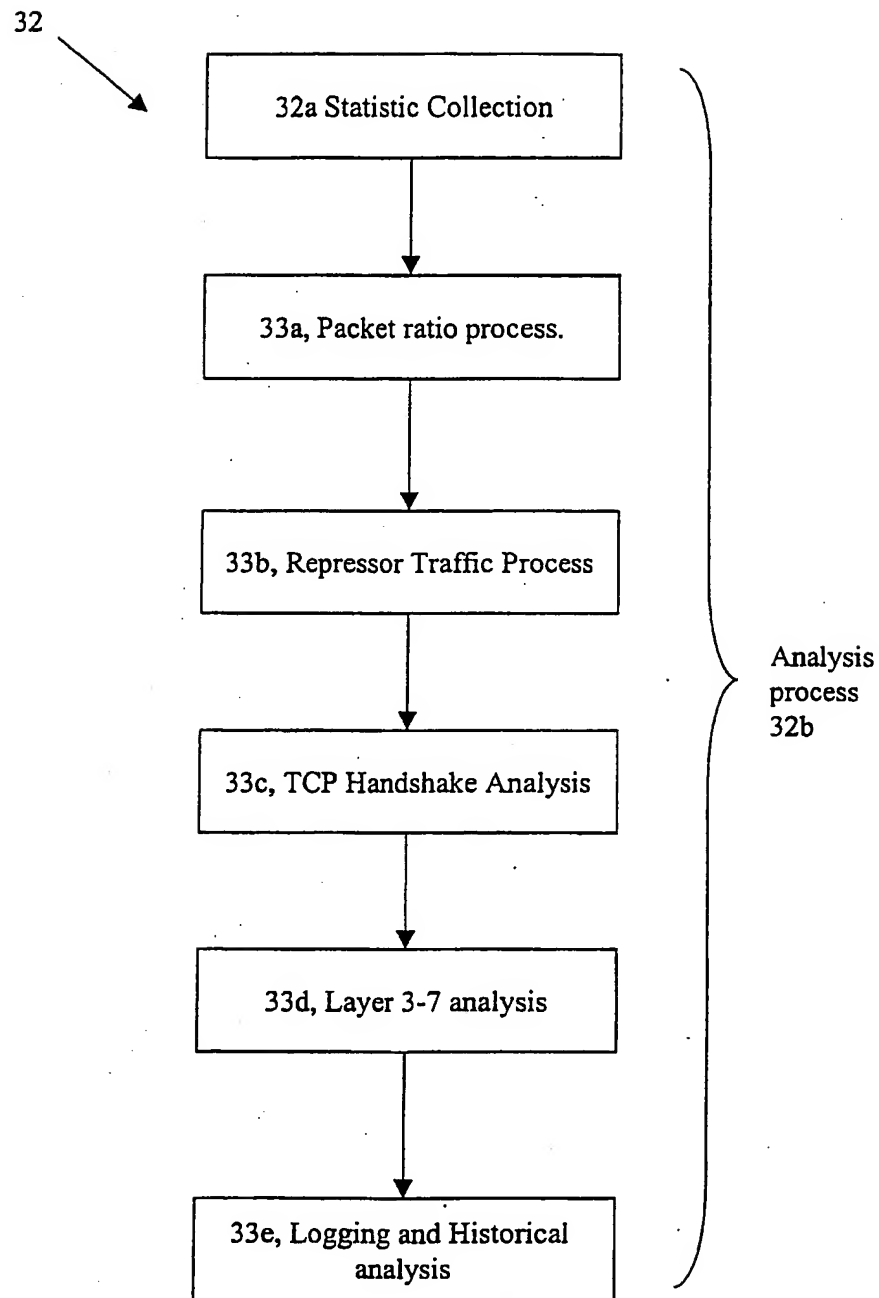33c, TCP Handshake Analysis

33d, Layer 3-7 analysis

33e, Logging and Historical analysis

Analysis process 32b

FIG. 6

FIG. 7

FIG. 8

Obtain ratio of packets
In/Out, 82

threshold → Compare packet ratio to
threshold, 84

≤2   >2

Store and stamp, 86

Analysis, 88

89, Attack?

yes

Raise alarm to
Control Center, 90

32

FIG. 9

Forward SYN Packet
from client, 102

Non
ACK connect >
threshold,
122

Forward SYN ACK Packet
from server to client, 104

Gateway 26 immediately
sends ACK to client, 106

Wait until less
than threshold,
124

Time out period expires, 108

DID ACK arrive from   yes
client? 110

no

Count, 111

Forward ACK, 114

Send reset to close
connection, 112

Normal traffic exit, 116

exit

FIG. 10

A.　CLASSIFICATION OF SUBJECT MATTER

IPC(7)　: G06F 15/16

US CL　: 709/235

According to International Patent Classification (IPC) or to both national classification and IPC

B.　FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. :　709/235, 232, 233, 234

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

STN

search terms: bucket, monitor, additional, watermark, link

C.　DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,854,938 A (OGI) 29 December 1998, col. 8, line 45 - col. 10, line 58.	1-49
Y	US 6,076,112 A (HAUSER et al.) 13 June 2000, see entire document.	1-49
A	US 5,796,956 A (JONES) 18 August 1998, col. 4, line 15 - col. 6, line 54.	1, 14, 21, 22, 32, 35, 45
A	US 6,058,114 A (SETHURAM et al.) 02 May 2000, col. 7, line 26 - col. 9, line 61 and col. 10, line 65 - col. 12, line 14.	1, 14, 21, 22, 32, 35, 45
A	US 6,084,856 A (SIMMONS et al.) 04 July 2000, col. 2, line 25 - col. 3, line 22, col. 4, line 58 - col. 6, line 61 and col. 10, line 65 - col. 12, line 53.	1, 14, 21, 22, 32, 35, 45

☐ Further documents are listed in the continuation of Box C.　　☐ See patent family annex.

*	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance		
"E"	earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		
"O"	document referring to an oral disclosure, use, exhibition or other means	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"P"	document published prior to the international filing date but later than the priority date claimed	"&"	document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
29 NOVEMBER 2001	02 JAN 2002
Name and mailing address of the ISA/US 　Commissioner of Patents and Trademarks 　Box PCT 　Washington, D.C. 20231	Authorized officer　*[signature]* JASON D. CARDONE
Facsimile No.　(703) 305-3230	Telephone No.　(703) 305-3800